

RESEARCH

Open Access



Estimation of above-ground biomass in tropical afro-montane forest using Sentinel-2 derived indices

Seid Muhe¹ and Mekuria Argaw^{2*} 

Abstract

Empirical analyses were common methods for forest biomass estimation. Lately, satellite images are popularly used to study different attributes of forest vegetation. Sentinel-2 image provides a significant improvement in spectral coverage, spatial resolution and temporal frequency in assessing forest biomass. This study examined the potential use of multispectral (MS) bands, vegetation indices and biophysical variables derived from Sentinel-2 images in modeling above-ground biomass (AGB) in tropical afro-montane forest of the Yaju biosphere reserve. A coupled method of remote sensing and statistics was applied to establish a biomass estimation model using spectral data generated from Sentinel-2 image and AGB data measured from the field. Multispectral bands, vegetation indices and biophysical variables were extracted from the Sentinel-2 image. Forest stand parameters such as DBH and tree height were measured from sampling plots to calculate AGB using allometric equations. The strength of correlation between the measured biomass and the MS bands, indices and biophysical variables were examined using Pearson's product-moment correlation coefficients. A regression analysis was iteratively applied to identify the determinant variables for predicting AGB. The prediction results were validated based on the magnitude of coefficients of determination between the observed and the predicted values and the magnitude of the Root Mean Square Error (RMSE). A strong correlation (r ranging from 0.65 to 0.74) was observed between the biophysical variables from Sentinel-2 image and the measured AGB from the field. The MS Band 4 (red band), vegetation variables LAI, FCOVER and FAPAR, and band combination index IRECI yielded better results and are good predictor variables for forest AGB. The model goodness of fit between the observed and predicted AGB showed a coefficient of determination (r^2) of 0.74 and RMSE of 0.16 ton C/pixel, which shows strong performance of the prediction model. Vegetation indices derived from Sentinel-2 imagery are good predictors of AGB in tropical afro-montane forests. Sentinel-2 image has improved the reliability of biomass estimation from remotely sensed data. Since field sampling plots were few in this study, the level of accuracy will likely improve with more number of field sample measurements.

Keywords: Above-ground biomass, Biophysical variables, Carbon stock, Sentinel-2 images, Vegetation indices

Background

Above-ground biomass in forest ecosystems plays an important role in the global carbon cycle and climate change mitigation by reducing atmospheric CO₂

concentration (Alkama and Cescatti 2016; Georgia et al. 2017). Holding 40% of the global terrestrial carbon, sustainable management of tropical forests is crucial for mitigating climate change and conserving biodiversity (Canadell and Raupach 2008; Mauya et al. 2015; Schuit et al. 2021). Data on forest productivity assessment, total biomass production, growth prediction and ecosystem services valuation are essential for forest management planning and utilization (Zianis and Mencuccini 2004;

*Correspondence: mekuria.argaw@aau.edu.et

² Center for Environmental Science, Addis Ababa University, Addis Ababa, Ethiopia

Full list of author information is available at the end of the article

Soenen et al. 2010). However, data accuracy and collection methods have remained serious methodological challenges (Powell et al. 2010). Accurate data on forest biomass are needed for appropriate management decision making and monitoring. Data accuracy is a key factor for forest carbon accounting for successful implementation of carbon market mechanisms such as the REDD⁺ (Herold et al. 2011). Techniques that facilitate rapid and accurate forest biomass estimation across spatial and temporal scales are very useful in reducing the level of uncertainty in carbon stock assessments and for informing strategic forest management plans (Soenen et al. 2010; Mascaro et al. 2011; Pan et al. 2011; Dou and Yang 2018).

Above-ground biomass and carbon stock estimation methods in forest ecosystems have evolved from the destructive direct measurements to the non-destructive indirect measurements using empirical equations and remotely sensed vegetation attributes (Table 1) (Brown 1993; Vashum and Jayakumar 2012). The methods have their own merits and demerits. The direct harvesting method measures biomass from oven dry weight of tree/shrub components (stems, branches, leaves, twigs) in the forest (Brown et al. 1989; Brown 1993; Hughes et al. 1999; MoA 2000). Although such data is the most accurate, the method is laborious, time consuming, expensive and not feasible for large area application. For large and protected forests, allometric equations are suitable and popularly applied globally (Brown 1997; Segura and Kanninen 2005; Navar 2009; Pearson et al. 2005). The allometric method is non-destructive but it has limitations in accuracy and often designed for specific site conditions or species types (Navar 2009; Pearson et al. 2005).

The other non-destructive and reliable method is application of remote sensing. Since the launch of resource scanning satellites, remote sensing has been increasingly used for land use land cover mapping (Forkuor et al. 2017) and for forest biomass estimation (Steininger 2000; Lu et al. 2014). Satellite sensors measure vegetation parameters that are correlated with biomass such as height, crown size, density, volume, leaf area index and other attributes (Isbaex and Coelho 2020). By combining remote sensing data with field sample measurements and based on the strength of the relationship (Pertille et al. 2019), spatially explicit estimates of forest biomass can be generated for a large area through modeling (McRoberts et al. 2013; Castillo et al. 2017; Chen et al. 2018; Pandit et al. 2018). The coupled method establishes predictive models by selecting best predictor variables that can be applied for mapping and monitoring of forest biomass and carbon at multiple scales (Castillo et al. 2017). Such models are popularly used in forest vegetation studies (Dou and Yang 2018; Chen et al. 2018) and can also be applied for estimating nutrients in herbaceous biomass in rangelands (Ramoelo et al. 2015).

Various remote sensing products from optical sensors, radio and light detection platforms are used for biomass estimation. However, widespread application of the products is limited by many factors such as low accessibility or high cost, low resolutions (spatial, spectral and temporal), cloud and canopy penetration capacity, and data saturation problems (Lu 2006; Timothy et al. 2016; Chen et al. 2018). For instance, Landsat images are freely accessible and widely used for vegetation classification and biomass estimation (Lyon et al. 1998; Timothy et al. 2015; Georgia et al. 2017), but the data saturation problem causes under-estimation of forest biomass from such images (Lu et al. 2014; Pandit et al. 2018). In multispectral images, vegetation indices can be derived from the reflectance information in the visible, near infrared and shortwave infrared bands (Isbaex and Coelho 2020). Images with broad band widths and low spectral resolutions are insensitive to differences in plant characteristics and they are less reliable for above-ground biomass estimation for very diverse types of sub-tropical forests (Mutanga and Skidmore 2004; Pandit et al. 2018). Hence, high resolution data in narrow band width are very useful to overcome data saturation, improve reliability and accuracy. The Sentinel-2 platform has multispectral instrument (MSI) sensor that yields images with better spectral coverage (e.g., red-edge band, shortwave infrared bands), high spatial resolution (e.g., 10 m, 20 m, 60 m) (Shoko and Mutanga 2017), and increased temporal frequency compared to the Landsat series (Gómez 2017; Pandit et al. 2018; Sun et al. 2019; Isbaex and Coelho 2020).

The Sentinel-2 image is freely accessible from the European Space Agency (ESA) hub, and has improved the application of the coupled modeling of biomass from field measured data with vegetation indices, spectral bands and biophysical variables (Zhang et al. 2017; Castillo et al. 2017). The red-edge band in Sentinel-2 images is most suitable for assessing and mapping vegetation characteristics (Ramoelo et al. 2015; Shoko and Mutanga 2017; Pertille et al. 2019). One of the advantages of Sentinel-2 image is the high spatial resolution (<10 m) that can be approximated or resampled to the size of plots in field measured inventory data, which contributed to improving the accuracy of the model predictions (Isbaex and Coelho 2020). A study by Chrysafis et al. (2017) on the relationships of growing stock volume and Sentinel-2 indices in the Mediterranean forest reported a strong performance of the prediction model with $R^2=0.63$ and RMSE (root mean square error) of $63.11 \text{ m}^3 \text{ ha}^{-1}$. Inclusion of Sentinel-2 texture matrices in the estimation of above-ground biomass in a sub-tropical forest of Nepal yielded a very high model performance with $R^2=0.99$ and RMSE of 4.51 Mg ha^{-1} (Pandit et al. 2018). The general literature on coupled modeling of field measured data with Sentinel-2 indices show an improvement and robust outcomes on the accuracy of

Table 1 Description of merits and demerits of methods for above-ground biomass estimation in forest ecosystems

Above-ground biomass estimation methods	
Direct measurement (destructive method)	Indirect measurement (non-destructive method)
<p>Biomass equations (destructive method)</p> <ul style="list-style-type: none"> • Involves direct harvesting of all trees/shrubs • Biomass measured from oven dry mass of tree components (stem, branches, twigs, leaves) <p>Advantages:</p> <ul style="list-style-type: none"> • High accuracy • Reliable data/information • No need for validation • Useful for developing species-specific biomass equation <p>Disadvantages:</p> <ul style="list-style-type: none"> • Only feasible/applied for small area and for small number of trees • Costly and time consuming • Not applicable for rare or threatened species • Not done for inaccessible terrains (Brown et al. 1989; Brown 1993; MoA 2000; Zianis and Mencucini 2004; Shrestha 2011; Vashum and Jayakumar 2012; Yohannes et al. 2015) 	<p>Biomass equations (allometric equations)</p> <ul style="list-style-type: none"> • Species-specific or mixed-species regression equations developed from felled sample trees • Biomass estimated from measured tree parameters <p>Advantages:</p> <ul style="list-style-type: none"> • No harvesting of trees, except for few samples • Useful for protected forests with rare and threatened species • Cost and time efficient <p>Disadvantages:</p> <ul style="list-style-type: none"> • Low accuracy/reliable • Results may be under- or over-estimated • Field inventory/tree parameters needed • Less useful for inaccessible terrains • Accounts only for live trees/shrubs • Requires validation by felling trees • Site specific (e.g., rainfall) (Brown 1997; Pearson et al. 2005; Yohannes et al. 2015; Siraj 2019; Dibaba et al. 2019)
<p>Biomass equations (remote sensing and statistics)</p> <ul style="list-style-type: none"> • Remote sensing measures vegetation attributes that are correlated with biomass • Biomass prediction models developed using field data • Biomass estimated from remotely sensed data using a prediction model <p>Advantages:</p> <ul style="list-style-type: none"> • Captures remotely sensed vegetation attributes • Useful for inaccessible terrain, large areas at scale • Reliable and cost effective • Useful for monitoring vegetation • Model is developed from sample measurements • Uses spectral band width, vegetation index, variables • Several options of sensors (Landsat, SPOT, MODIS, LIDAR, Sentinel, etc...) <p>Disadvantages:</p> <ul style="list-style-type: none"> • Low availability of images • High cost for high resolution • Limitations in resolutions • Limited comparability across sensors • Objective specific/thematic • Complexity of classification (Lyon et al. 1998; Lu et al. 2014; Timothy et al. 2015; Georgia et al. 2017; Castillo et al. 2017; Pertille et al. 2019; Isbaex and Coelho 2020) 	

the estimated biomass with high goodness of fit and low RMSE (Castillo et al. 2017; Chen et al. 2019).

In Ethiopia, forest biomass quantification methods have been largely done with direct measurement of oven dry weight of biomass of tree components (MoA 2000). This has gradually developed into plot-based measurement of tree parameters and application of general allometric equations (Yohannes et al. 2015; Siraj 2019). For the lowland woodlands, species-specific equations have been developed for some species during the national biomass inventory project (MoA 2000) that serve to quantify above-ground biomass in lowland vegetation. However, above-ground biomass estimation in the dry and moist montane forest ecosystems is done using general allometric equations developed for sites with similar rainfall regimes and forest vegetation types (Pearson et al. 2005; Melese et al. 2014; Yohannes et al. 2015; Dibaba et al. 2019). The allometric methods have limitations in data accuracy, applicability for inaccessible terrains and raises questions on representativeness of the forest ecosystems (Zianis and Mencuccini 2004; Shrestha 2011; Vashum and Jayakumar 2012). The protected forests, biosphere reserves and the last remaining intact Afro-montane forests in the country are located in dissected and inaccessible mountainous terrains, where physical access is limited (Kebede et al. 2013). Proper accounting and reporting of the forest biomass and carbon sequestration in those forests is essential to meet the Nationally Determined Commitment on emission reduction and for the successful implementation of the Reduced Emission from Deforestation and Forest Degradation (REDD⁺) program in the country (MEFCC 2016). Therefore, this study has the following objectives (i) to investigate the relationship between field measured biomass data and vegetation indices, biophysical variables and spectral bands derived from Sentinel-2 Multispectral image, (ii) to identify best predictor variables through correlation and regression analysis, and (iii) to develop above-ground biomass prediction model using best estimator vegetation variables (iv) to produce forest carbon stock map using the developed model. The novelty of this work is the application of Sentinel-2 image for estimating biomass in a tropical Montane forest in Ethiopia, which is an addition to knowledge on the methods of forest biomass estimation.

Materials and methods

Description of the study area

The Yayu afro-montane forest is found in the Illubabor Zone, southwest of the country at about 550 km from the capital, Addis Ababa. The geographic location is between 8° 4' 56.05"–8° 24' 40.46" N latitude and 35° 44' 53.85"–36° 5' 12.23" E longitudes (Fig. 1). Large part of the Yanu afro-montane forest is protected as a Forest Biosphere Reserve.

The forest is part of the last remaining intact patches of natural forests in the southwest region. The forest has multiple economic, social and environmental benefits. It provides non-timber forest products, mainly spices, honey, and herbal medicine to rural communities for their livelihoods. The forest contains one of the largest forest biomass in the country and hence significantly contributes to climate change mitigation. Besides, the Yayu forest is one of the last remaining montane-rainforests containing wild *Coffea arabica* gene pool populations in Ethiopia. The forest site is effectively serving as an in situ conservation forest for the wild *Coffea arabica* population gene pool (Gole et al. 2008; Schuit et al. 2021). Coffee makes the largest share of living for the local communities. The climate is characterized by hot and humid tropical climate with a mean annual temperature of 25 °C, varying between 12.7 and 26.1 °C. The region receives high mean annual rainfall of about 2100 mm, with high annual variability ranging from 1400 to 3000 (Gole et al. 2008).

The topography is complex with undulating hills and valleys dissected by several small streams draining into the Geba and Dogi Rivers. The elevation ranges between 1217 m.a.s.l at the valley bottom to 2583 m.a.s.l at the highest point in the watershed (Fig. 2). The valley gorges and the mountains are not accessible and have very steep slopes. The dense and large patches of the forests are found in the valleys and on mountains, which makes it difficult to conduct a ground inventory of the forests.

Land use land cover classification

Before conducting the field sample measurement, the land use land cover of the study area was classified using a Landsat-8 dry season imagery acquired in February, 2018, which was downloaded from the open access Global Land Cover Facility (GLCF) (GIS Resources 2013). The forest land covered about 62%, which is the largest in the landscape followed by the cultivated agricultural land occupying about 30% of the total area (Fig. 3). The rest of the landscape is covered with shrub lands (3%), settlements (2.7%) and wetlands (2.3%) (Fig. 3). Although the forest area is designated as a National Forest Priority Area and the Yayu Biosphere reserve is established within the forest landscape, the local communities are highly dependent on the forest mainly for harvesting natural coffee, spices and honey production. Thus, the Yayu biosphere reserve forest has three functional zones allowing farmers to harvest non-timber forest products in the transition and buffer zones while leaving the core zone as access-restricted conservation zone, which is primarily located in the valleys and mountains. As shown in Fig. 3, the dark green areas are the dense forests designated as core zones in the inaccessible high altitude steep mountains and in the low altitude river valleys in the Yayu

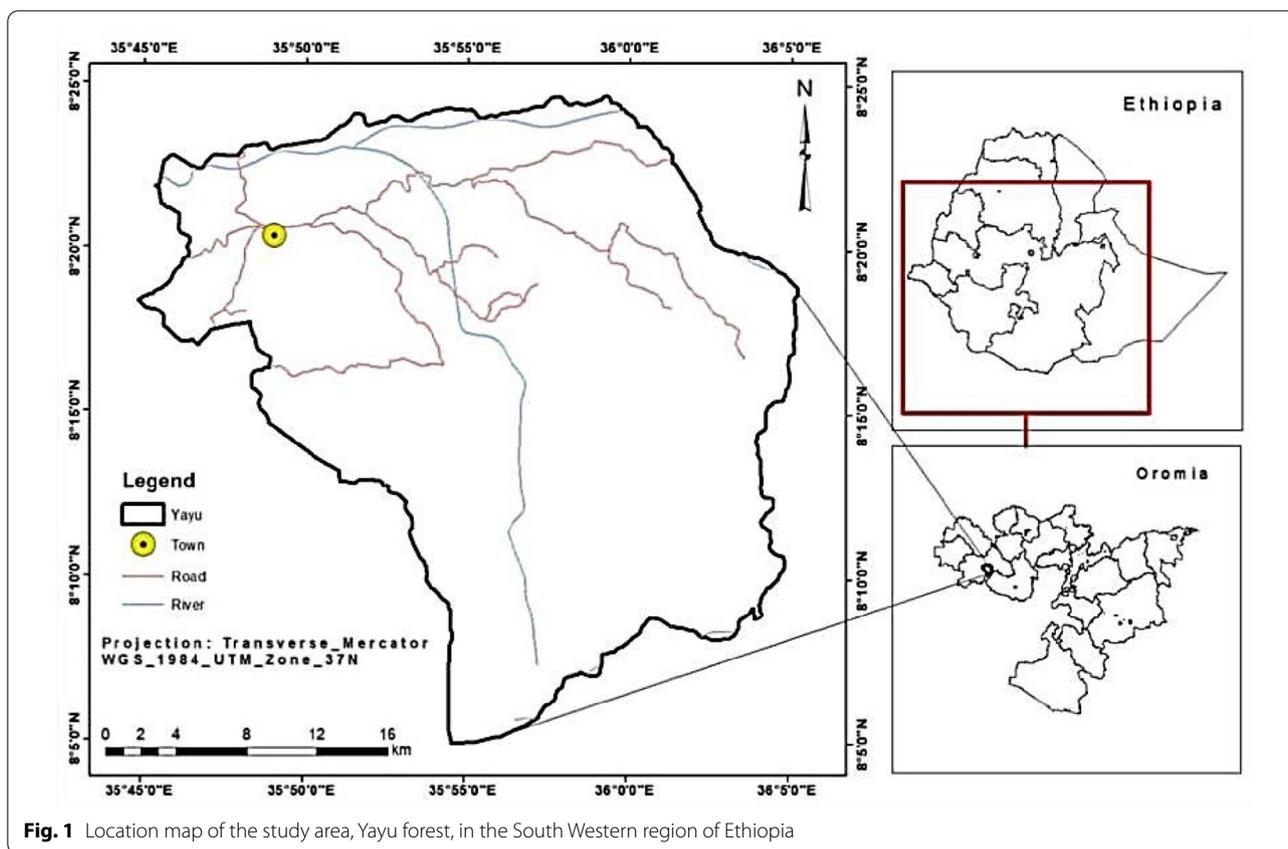


Fig. 1 Location map of the study area, Yayu forest, in the South Western region of Ethiopia

forest. The landscapes in the middle altitude areas are the buffer and transition zones, in which agricultural cultivation is practiced with strict management actions (Gole et al. 2008).

Field sampling and measurement of tree parameters

The forest map of the study area was extracted from the land use land cover map produced using the Landsat-8 image. A total of 20 field sampling plots were randomly drawn from the forest map in ArcGIS 10.2 platform. The coordinates of the random plots were used as references to locate the plots on the ground within the transitional, buffer and core zones of the Biosphere reserve forest by using a hand held *Garmin III* GPS. The size of each plot was 20 m × 20 m (400 m²) and the boundaries were delineated using a measuring tape. In each plot, all trees with a diameter of ≥ 5 cm and a height of > 1.3 m were identified, recorded and measured for diameter at breast height (DBH) and total height (H). The DBH was measured using diameter tape while height was measured using *Sunnito* clinometer.

Above-ground biomass and carbon stock estimation from field measured data

There is well established relationship between measurable tree parameters and forest stand parameters (e.g., volume, biomass and density) for natural vegetation types in the tropics, which are difficult for direct measurements (Husch et al. 2003). Based on intensive sampling and analysis, allometric equations are often developed and globally used to estimate forest biomass from tree parameter data (Pearson et al. 2005). The above-ground biomass and carbon stock in the Yayu forest was quantified by selecting suitable allometric equation that is applicable to the Yayu tropical afro-montane forest. The equation was established for tropical forests and it has been widely applied (Chave et al. 2004) to quantify biomass in tropical dry afro-montane forests that have similar climatic conditions to that of the Yayu forest. The equation uses DBH and height measured from the sampling plots as well as specific wood density of each species to convert the wood volume into biomass. The specific wood density data, which is the dry mass of a unit volume of fresh wood of a tree, was obtained from a tropical species wood density database source (Gisel et al. 1992).

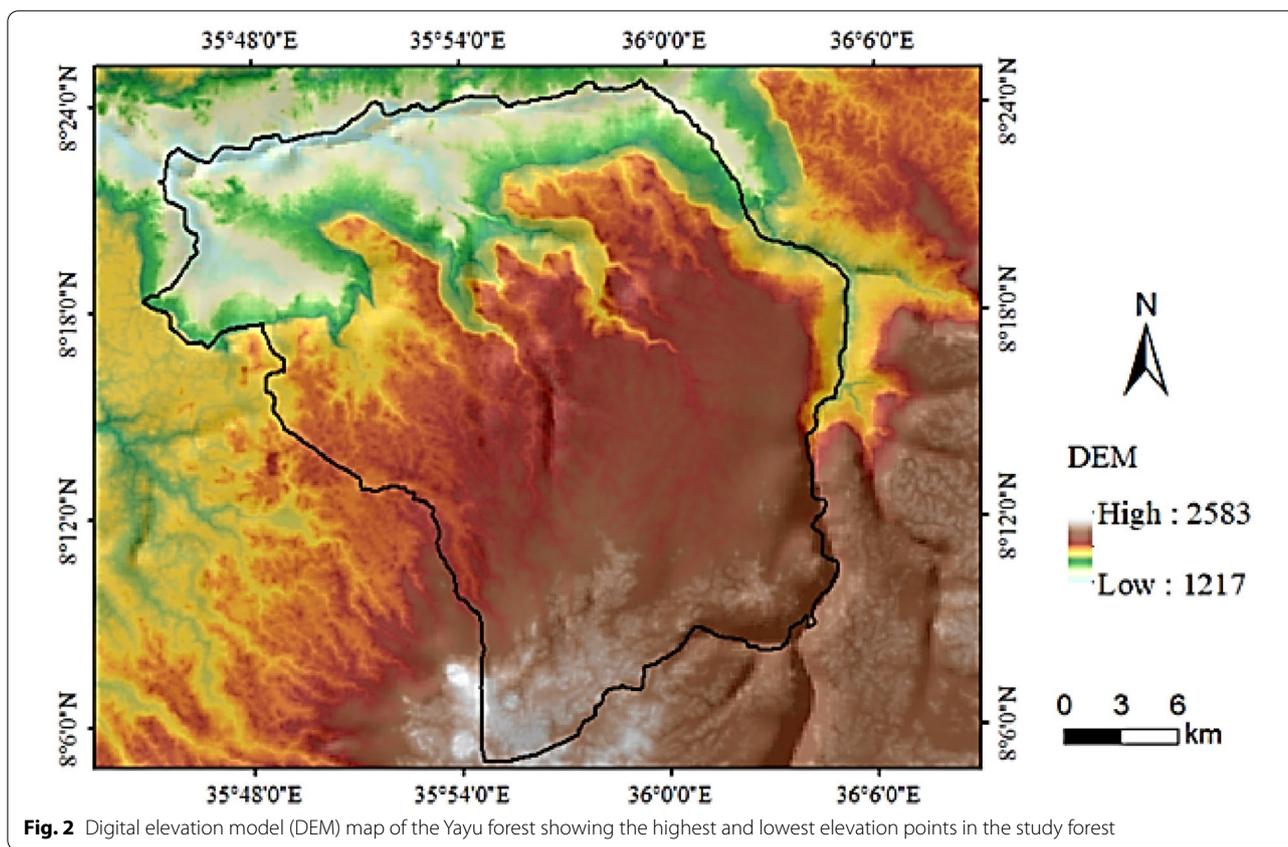


Fig. 2 Digital elevation model (DEM) map of the Yayu forest showing the highest and lowest elevation points in the study forest

$$AGB = 0.0673 \times (\rho D^2 H)^{0.976} \tag{1}$$

where AGB is Above-ground biomass (g), ρ is specific wood density (g cm^{-3}), D^2 is diameter at breast height (DBH) (cm), H is total height of a tree (m).

The above-ground biomass was converted into carbon equivalent using the biomass conversion factor or carbon fraction value of 0.47 (IPCC 2006).

$$C = AGB \times CF \tag{2}$$

where, C is carbon stock (g), and CF is carbon fraction of above-ground biomass.

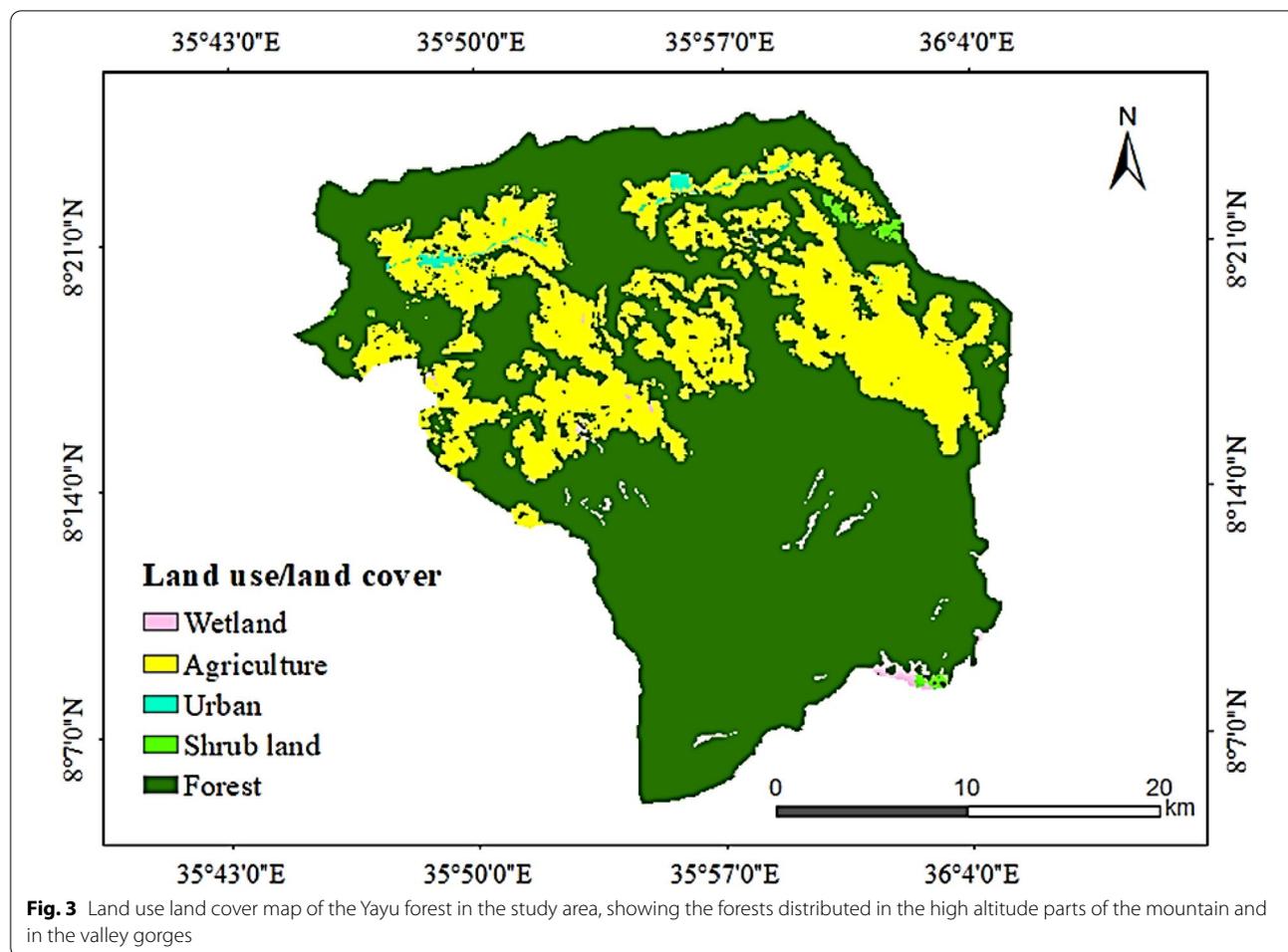
Sentinel-2 image pre-processing

The Sentinel-2 satellite imagery, taken in the dry season of February 2018, was downloaded from the open access European Space Agency (ESA 2019) hub. The raw image was pre-processed using the Sentinel Application Platform (SNAP) and quantum GIS (QGIS) Software. The transformation from radiance to surface reflectance was done by applying the Dark Object Subtraction (DOS) method using the semi-automatic classification plugin in QGIS software. The DOS method removes the darkest pixel in each band that might be

affected by atmospheric scattering (Chavez 1988). A radiometric correction was done to reduce atmospheric and sun angle effects (Baillarin et al. 2012) and to make the optical image a Level-2A product with bottom-of atmosphere reflectance. The Sentinel-2 Multi-spectral instrument (MSI) with swath width of 290 km was Ortho-rectified to UTM Zone 37N projection and combined with the Shuttle Radar Topographic Mission (SRTM) 3 s digital elevation model to improve the accuracy. The processed image was then resampled the 10 m bands into 20 m resolution. The high resolution 10 m bands, the blue, green, red and near infrared bands, were resampled into a 20 m pixel size resolution using the ArcGIS software, so as to correspond with the 20 m vegetation sampling plot size of the field data measurement. The pre-processed and resampled Sentinel-2 image was then used for deriving vegetation indices (VIs) and biophysical variables (BPVs) from combination of the multi-spectral bands (Fig. 4).

Vegetation indices (VI) extraction and mapping

In a remotely sensed data, a vegetation index is a spectral transformation of two or more bands designed to enhance the contribution of vegetation properties and allow reliable spatial and temporal inter-comparisons of terrestrial



photosynthetic activity and canopy structural variations (Huete et al. 2000; Castillo et al. 2017; Tadesse et al. 2020). Vegetation indices extracted from Satellite data have emerged as important tools in monitoring, mapping and managing terrestrial vegetation as the indices provide radiometric measurement of the quantity, structure and condition of vegetation, and effectively serve as useful indicators of seasonal and inter-annual variations (Isbaex and Coelho 2020).

There are many VIs with similar functionality and most of them use the inverse relationship between red and near-infrared reflectance associated with healthy green vegetation (Pertille et al. 2019). According to Banari et al. (1995), VIs are normally classified based on a range of attributes such as the number of spectral bands (2 or greater than 2); the method of calculations (ratio or orthogonal), depending on the required objective; and the historical development (as first generation VIs or second generation VIs). In order to compare the effectiveness of different VIs, Lyon et al. (1998) classified seven types of VIs based on their computational methods (Subtraction,

Division or Rational Transform). The selection of pertinent VIs improved with the advancement in hyper-spectral remote sensing technology and currently high resolution reflectance spectrums are available for multispectral VIs analysis (Isbaex and Coelho 2020).

The five vegetation indices in Table 2 were selected based on their performance of biomass estimation in previous studies using Sentinel-2 images (Castillo et al. 2017; Pandit et al. 2018; Chen et al. 2018). The VIs were computed and mapped from the resampled multispectral bands and their combinations in ArcGIS and QGIS platforms based on the spectral values (Fig. 5). The bands 1, 9, and 10 with 60 m pixel size were excluded from the computation because of the low resolution.

Biophysical variables (BPVs) extraction and mapping

Vegetation surface biophysical or canopy properties provide an understanding of the physics of the interactions between solar radiation and vegetation elements (Asrar et al. 1989; Dou and Yang 2018). Vegetation surface parameter relates vegetation attribute to its spectral

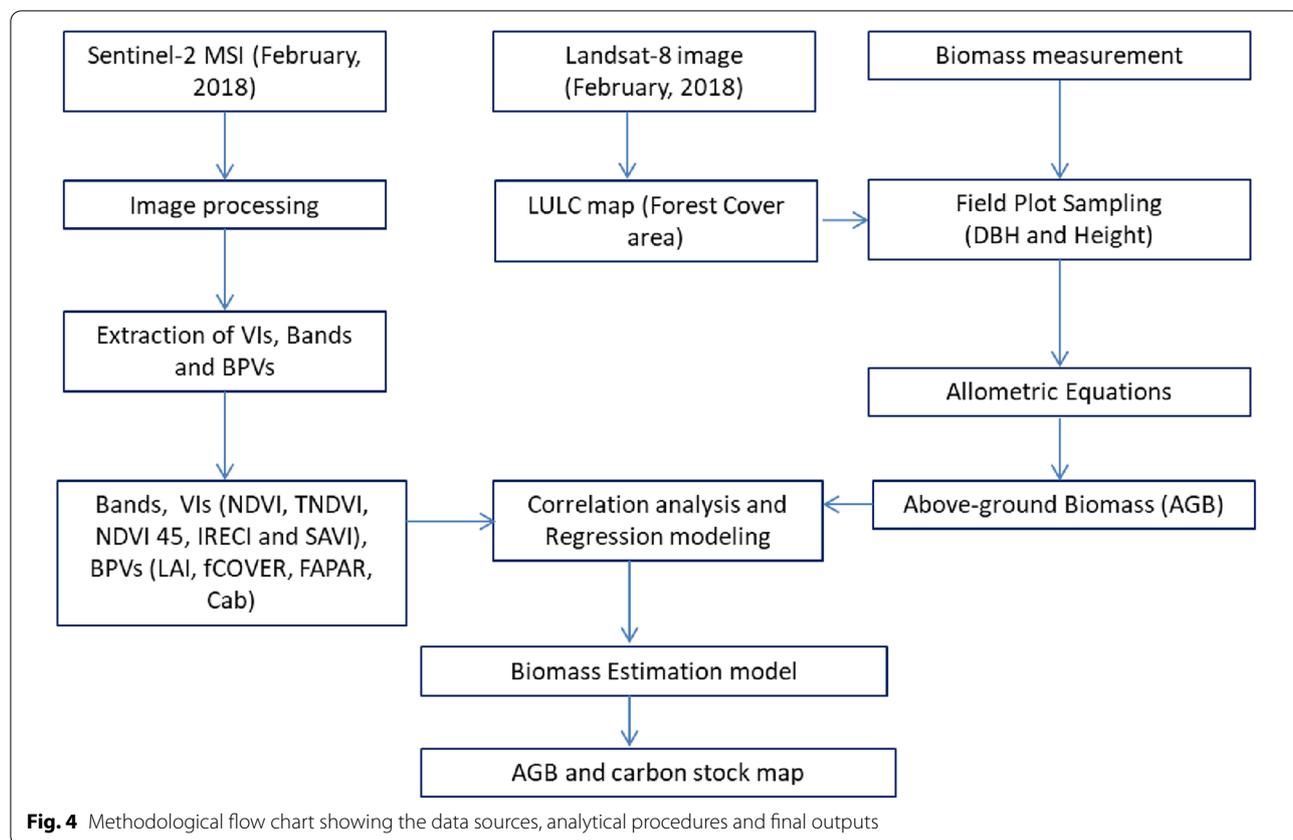
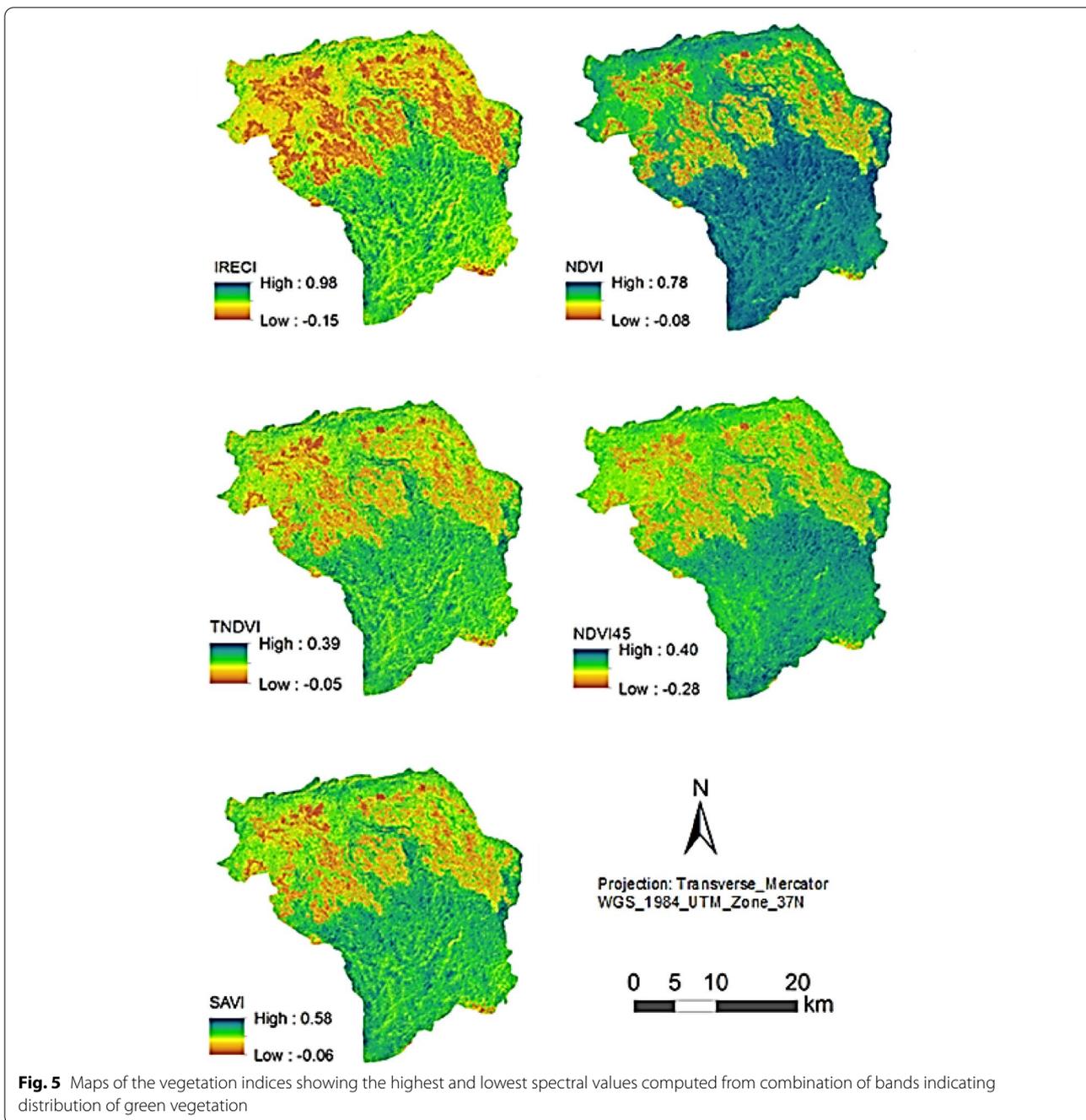


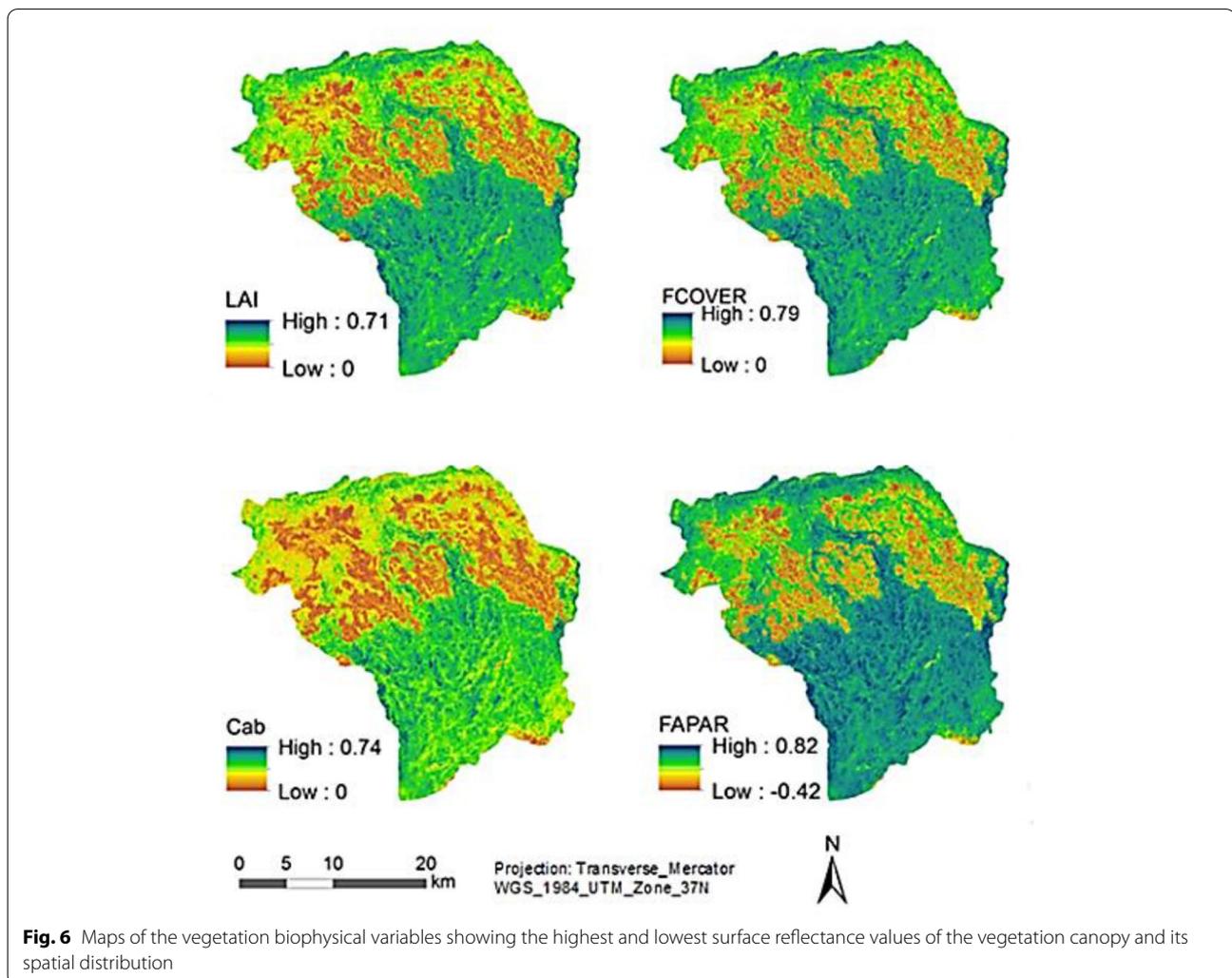
Table 2 List of selected multispectral bands, derived VIs and BPVs from Sentinel-2 image

Multispectral bands and description	
B2	Blue, 490 nm (10 m) _Resampled to 20 m
B3	Green, 560 nm (10 m) _Resampled to 20 m
B4	Red, 665 nm (10 m) _Resampled to 20 m
B5	Red edge, 705 nm (20 m)
B6	Red edge, 749 nm (20 m)
B7	Red edge, 783 nm (20 m)
B8	Near Infrared, 842 nm (10 m) _Resampled to 20 m
B8a	Near Infrared, 865 nm (20 m)
B11	Short Wave IR, 1610 nm (20 m)
B12	Short Wave IR, 2190 nm (20 m)
Vegetation indices (VIs)	
IRICI (Inverted Red-Edge Chlorophyll Index)	$(\text{Band } 7 - \text{Band } 4) / (\text{Band } 5 / \text{Band } 6)$
NDVI (Normalized Difference Vegetation Index)	$(\text{Band } 8 - \text{Band } 4) / (\text{Band } 8 + \text{Band } 4)$
TNDVI (Transformed Normalized Difference Vegetation Index)	$[(\text{Band } 8 - \text{Band } 4) / (\text{Band } 8 + \text{Band } 4) + 0.5]^{1/2}$
NDVI45 (Normalized Difference Vegetation Index with band 4 and 5)	$(\text{Band } 5 - \text{Band } 4) / (\text{Band } 5 + \text{Band } 4)$
SAVI (Soil Adjusted Vegetation Index)	$(\text{Band } 8 - \text{Band } 4) / (\text{Band } 8 + \text{Band } 4 + 0.5) * 1.5$
Biophysical variables (BPVs)	
LAI	Leaf area index
FCOVER	Fraction of vegetation cover
FPARA	Fraction of Absorbed Photosynthetically Active Radiation
Cab	Chlorophyll content in the leaf



signature or reflectance value thereby providing reasonable estimates of vegetation properties across spectral, spatial and temporal scales (Asrar et al. 1989). The measurements of vegetation attributes include leaf area index (LAI), green leaf area index (GLAI), percent green cover or fractional green cover, chlorophyll content, green biomass and fraction of absorbed photo-synthetically active radiation (FAPAR). According to Widlowski et al. (2004), biophysical variables describe the spatial distribution of

vegetation state and dynamics, thus, are useful for biomass estimation. The four biophysical variables (Table 2) were selected based on their performance for biomass estimation in other studies (Castillo et al. 2017; Chen et al. 2018). The biophysical variables were computed by the SNAP toolbox using the Biophysical variable processor (SNAP 2016). The attribute maps were produced in ArcGIS and QGIS software based on the surface reflectance values of each attribute (Fig. 6).



Extraction of the pixel values of predictor variables

The pixel values for each variable derived from the Sentinel-2 image were extracted using zonal statistics in ArcGIS. The field plot geographical location (latitude and longitude) points were used as references to match the pixels as shown in Fig. 7. The extracted pixel values for each predictor variable are shown in Tables 3 and 4. The values were exported in CSV (comma separated variable) data formats for correlation and regression analysis in SPSS software.

Data analysis

The forest biomass data measured from the field plots and the extracted values for the predictor variables from the Sentinel-2 images were organized into a spreadsheet with a CSV format. A pairwise Pearson's product-moment correlation test was conducted to determine the correlation of the observed above-ground biomass and the predictor variables from the Sentinel-2 image using SPSS v.20 software.

The predictor variables that showed significant correlation with the measured biomass data were selected for regression analysis. A regression test was iteratively performed between the measured biomass and the significantly correlated predictor variables in order to develop a regression model for biomass prediction.

The prediction model was then evaluated based on the magnitude of the Root Mean Square Error (RMSE) and value of the coefficient of determination (r^2). The r^2 was preferred because it has a standard measure of values ranging from 0 to 1. The r^2 also shows the percentage of the variability explained by the model (Husch et al. 2003). This helps to understand the relationship between the independent variables (indices) and the dependent variable (biomass) (Peters 2007). The best prediction model was developed by selecting the variables with high r^2 and a low RMSE values. The equation developed from the regression model was then applied to estimate the AGB by using

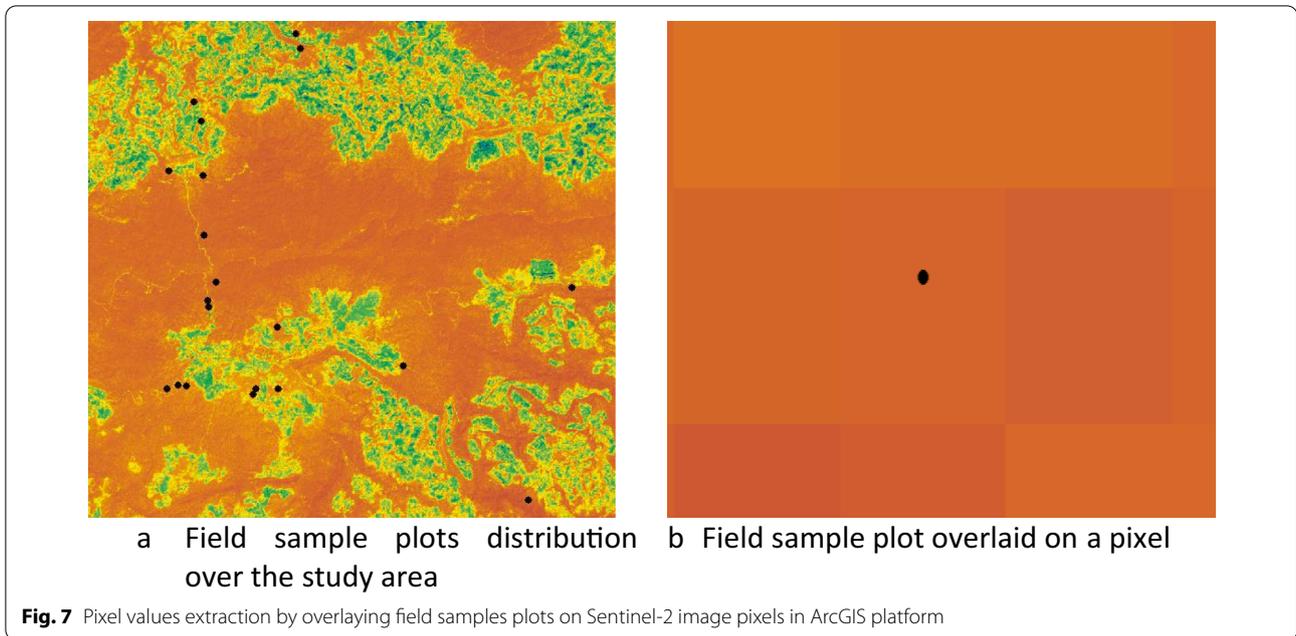


Table 3 Pixel values extracted from selected bands of Sentinel-2 image and AGB measured from plots

Plot no	Latitude	Longitude	Area (m ²)	AGB (ton)	B2	B3	B4	B5	B6	B7	B8	B8a	B11	B12
1	0147368	0931779	400	0.20	0.1	0.09	0.08	0.1	0.17	0.2	0.2	0.23	0.19	0.1
2	0145903	0932027	400	0.98	0.09	0.08	0.06	0.09	0.21	0.27	0.2	0.29	0.14	0.07
3	0147329	0934143	400	0.49	0.09	0.08	0.06	0.1	0.17	0.2	0.23	0.23	0.18	0.1
4	0147023	0934962	400	0.24	0.09	0.08	0.06	0.09	0.19	0.22	0.23	0.25	0.17	0.09
5	0150452	0925206	400	0.10	0.09	0.08	0.06	0.09	0.17	0.2	0.2	0.24	0.16	0.09
6	0147389	0929212	400	0.93	0.09	0.08	0.05	0.08	0.18	0.22	0.17	0.24	0.11	0.05
7	0147854	0927192	400	0.86	0.09	0.08	0.06	0.08	0.17	0.2	0.19	0.23	0.13	0.06
8	0155815	0923434	400	0.81	0.09	0.08	0.07	0.09	0.17	0.2	0.21	0.22	0.16	0.09
9	0150437	0922525	400	0.19	0.1	0.08	0.07	0.09	0.16	0.19	0.18	0.21	0.17	0.09
10	0149495	0922560	400	0.40	0.1	0.09	0.07	0.09	0.14	0.16	0.21	0.18	0.15	0.09
11	0149,358	0922303	400	0.62	0.1	0.09	0.06	0.1	0.17	0.19	0.25	0.23	0.18	0.1
12	0151628	0937211	400	0.39	0.09	0.08	0.06	0.09	0.18	0.22	0.23	0.25	0.17	0.09
13	0147518	0926112	400	1.10	0.09	0.08	0.06	0.09	0.18	0.22	0.25	0.24	0.14	0.07
14	0147484	0926386	400	0.62	0.09	0.08	0.06	0.08	0.15	0.17	0.17	0.2	0.13	0.06
15	0145704	0922617	400	0.48	0.1	0.09	0.06	0.09	0.18	0.23	0.25	0.25	0.15	0.08
16	0146176	0922736	400	0.46	0.1	0.08	0.07	0.09	0.18	0.21	0.2	0.24	0.17	0.09
17	0146521	0922727	400	0.38	0.1	0.09	0.07	0.09	0.17	0.21	0.2	0.23	0.16	0.08
18	0163083	0926702	400	0.34	0.09	0.08	0.06	0.08	0.16	0.18	0.19	0.2	0.16	0.09
19	0822091	0917444	400	0.69	0.08	0.07	0.05	0.07	0.15	0.19	0.19	0.22	0.11	0.05
20	0151444	0937851	400	0.75	0.09	0.07	0.06	0.08	0.15	0.19	0.17	0.21	0.13	0.07

Table 4 Pixel values of vegetation indices extracted from Sentinel-2 image and AGB measured from plots

Plot no	Latitude	Longitude	Area (m ²)	AGB(ton)	TNDVI	NDVI45	SAVI	IRECI	NDVI	LAI	FAPAR	FCOVER	Cab
1	0147368	0931779	400	0.20	0.15	0.14	0.25	0.24	0.46	0.21	0.4	0.32	0.55
2	0145903	0932027	400	0.98	0.17	0.18	0.29	0.31	0.56	0.32	0.52	0.41	0.79
3	0147329	0934143	400	0.49	0.19	0.18	0.33	0.34	0.58	0.3	0.5	0.41	0.76
4	0147023	0934962	400	0.24	0.19	0.18	0.32	0.32	0.57	0.27	0.46	0.39	0.68
5	0150452	0925206	400	0.10	0.16	0.18	0.27	0.26	0.52	0.26	0.45	0.36	0.62
6	0147389	0929212	400	0.93	0.14	0.18	0.24	0.39	0.52	0.38	0.57	0.46	1
7	0147854	0927192	400	0.86	0.15	0.18	0.27	0.28	0.53	0.31	0.5	0.4	0.73
8	0155815	0923434	400	0.81	0.16	0.18	0.28	0.26	0.52	0.26	0.46	0.37	0.63
9	0150437	0922525	400	0.19	0.13	0.15	0.22	0.19	0.44	0.19	0.35	0.28	0.47
10	0149495	0922560	400	0.40	0.16	0.14	0.27	0.2	0.5	0.21	0.38	0.31	0.49
11	0149358	0922303	400	0.62	0.21	0.17	0.34	0.31	0.59	0.29	0.48	0.4	0.68
12	0151628	0937211	400	0.39	0.19	0.19	0.32	0.31	0.57	0.28	0.49	0.4	0.73
13	0147518	0926112	400	1.10	0.21	0.18	0.35	0.36	0.61	0.35	0.57	0.46	0.91
14	0147484	0926386	400	0.62	0.13	0.13	0.23	0.22	0.49	0.25	0.42	0.33	0.62
15	0145704	0922617	400	0.48	0.21	0.17	0.34	0.33	0.59	0.31	0.52	0.43	0.8
16	0146176	0922736	400	0.46	0.16	0.16	0.27	0.27	0.51	0.26	0.45	0.37	0.64
17	0146521	0922727	400	0.38	0.15	0.13	0.25	0.24	0.48	0.24	0.42	0.34	0.59
18	0163083	0926702	400	0.34	0.15	0.15	0.26	0.24	0.52	0.22	0.37	0.31	0.56
19	0822091	0917444	400	0.69	0.17	0.17	0.3	0.33	0.61	0.36	0.54	0.42	0.97
20	0151444	0937851	400	0.75	0.14	0.16	0.24	0.26	0.51	0.29	0.47	0.36	0.76

the vegetation indices. The significance of the model was assessed from the P-value at $\alpha = 0.05$.

$$RMSE = \sqrt{\frac{\sum_{i=1}^n (AGBo - AGBp)^2}{n}} \quad (3)$$

where $AGBo$ is observed AGB value, $AGBp$ is predicted AGB value, and n is number of samples

Results

Above-ground biomass from field measurements

The highest amount of AGB was recorded from the sample plots located in the core zone of the Yayu forest biosphere reserve (Table 5). The core zone is access-restricted and far from the sources of disturbances. Large sized canopy trees are present in this part of the forest compared to the buffer and transition zones (Annex 1). The field measured tree data from the respective plots shows that the number and size category of trees is relatively high compared to those recorded from the buffer and transitions zones (Gole et al. 2008; Schuit et al. 2021). The least amount of the AGB was recorded in the plots located in the disturbed and semi-disturbed forest, which is the buffer and transition zones of the biosphere reserve (Table 5) (Gole et al. 2008). This is perhaps directly linked to the degree of human impact on the forest since the core zone is protected while the buffer and transition zones are open for community access that might lead to selective removal of mature trees from the forest (Schuit et al. 2021).

Correlation between AGB and the predictor variables from the Sentinel-2 image

The result of the correlation analysis between the measured above-ground biomass and the predictor variables extracted from the Sentinel-2 images showed a strong correlation between the observed AGB and most of the vegetation indices, with correlation coefficient (r) values ranging from 0.36 to 0.74. Among the predictor variables, NDVI ($r = 0.36$), IRECI ($r = 0.5$), NDVI45 ($r = 0.40$), LAI ($r = 0.74$), FAPAR ($r = 0.7$), FCOVER ($r = 0.64$) and Cab ($r = 0.69$) were strongly correlated with the AGB (Table 6). The IRECI from the vegetation indices and LAI from the biophysical variables were best correlated with the observed/measured AGB. Among the different predictor variables, the biophysical variables were found strongly correlated with the above-ground biomass ($r = 0.65$ – 0.74).

Relationship between measured above-ground biomass and derived indices

The results from the linear regression analysis revealed that there is a positive linear relationship between forest above-ground biomass and the spectral vegetation indices extracted from the Sentinel-2 satellite images (Fig. 8; $r^2 = 0.017$ – 0.27). Similarly, the forest above-ground biomass has showed a strong and linear relationship with the surface reflectance biophysical variables drawn from the satellite images (Fig. 9; $r^2 = 0.42$ – 0.54). From the MSI bands, Band 4 performed better than other Sentinel-2

Table 5 Forest above-ground biomass estimated from field sample plot measurements

Sample plot no	UTM location		Plot area Area (m ²)	Above-ground biomass	
	Latitude (m)	Longitude (m)		Kg plot ⁻¹	ton plot ⁻¹
1**	0147368	0931779	400	196.66	0.19666
2	0145,903	0932027	400	975.2	0.9752
3	0147329	0934143	400	489.16	0.48916
4**	0147023	0934962	400	237.39	0.23739
5**	0150452	0925206	400	104.46	0.10446
6*	0147389	0929212	400	927.31	0.92731
7*	0147854	0927192	400	859.69	0.85969
8*	0155815	0923434	400	807.59	0.80759
9**	0150437	0922525	400	194.95	0.19495
10	0149495	0922560	400	403.98	0.40398
11	0149358	0922303	400	617.37	0.61737
12	0151628	0937211	400	390.43	0.39043
13*	0147518	0926112	400	1104.14	1.10414
14	0147484	0926386	400	618.4	0.6184
15	0145704	0922617	400	481.18	0.48118
16	0146176	0922736	400	461.42	0.46142
17	0146521	0922727	400	383.69	0.38369
18	0163083	0926702	400	337.88	0.33788
19	0822091	0917444	400	686.18	0.68618
20	0151444	0937851	400	754.02	0.75402

* Refers to plots located in the core zone of the biosphere reserve forest and ** refers to plots located in the buffer and transition zone of the biosphere reserve forest

Table 6 Correlation between field measured above-ground biomass and selected vegetation index predictor variables

	AGB (ton plot ⁻¹)	IRECI	NDVI	NDVI 45	LAI	FAPAR	FCOVER	Cab	B4
AGB (ton plot ⁻¹)	1.00								
IRECI	0.52	1.00							
NDVI	0.36	0.89	1.00						
NDVI45	0.40	0.88	0.98	1.00					
LAI	0.74	0.90	0.92	0.94	1.00				
FAPAR	0.71	0.92	0.86	0.96	0.98	1.00			
FCOVER	0.65	0.96	0.97	0.95	0.95	0.98	1.00		
Cab	0.69	0.94	0.91	0.94	0.90	0.92	0.88	1.00	
B4	-0.44	-0.67	-0.65	-0.60	-0.78	-0.68	-0.66	-0.74	1.00

bands ($r = -0.44$ and $r^2 = 0.2$), which is selected for developing the AGB prediction regression model. The best predictor variables for the biomass prediction model development were selected based on the strength of the relationship between the indices and the measured above-ground biomass.

Modeling AGB biomass prediction from vegetation indices

From the regression analysis, the variables with high values of coefficient of determination were selected for the above-ground biomass prediction. The variables with low values

of coefficient of determination and those showing multi-collinearity were excluded from the model. Only five variables were selected to develop the model and the remaining were excluded because of very low values of coefficient of determination and presence of multi-collinearity (Table 7). Predictor variables with multi-collinearity can cause high variance in regression analysis and should be excluded from the modeling (Chen et al. 2018). As a result, LAI, FCOVER and FAPAR from the biophysical variables, IRECI from the vegetation indices and Band 4 from the MSI bands were selected for the model development (Table 7). The results

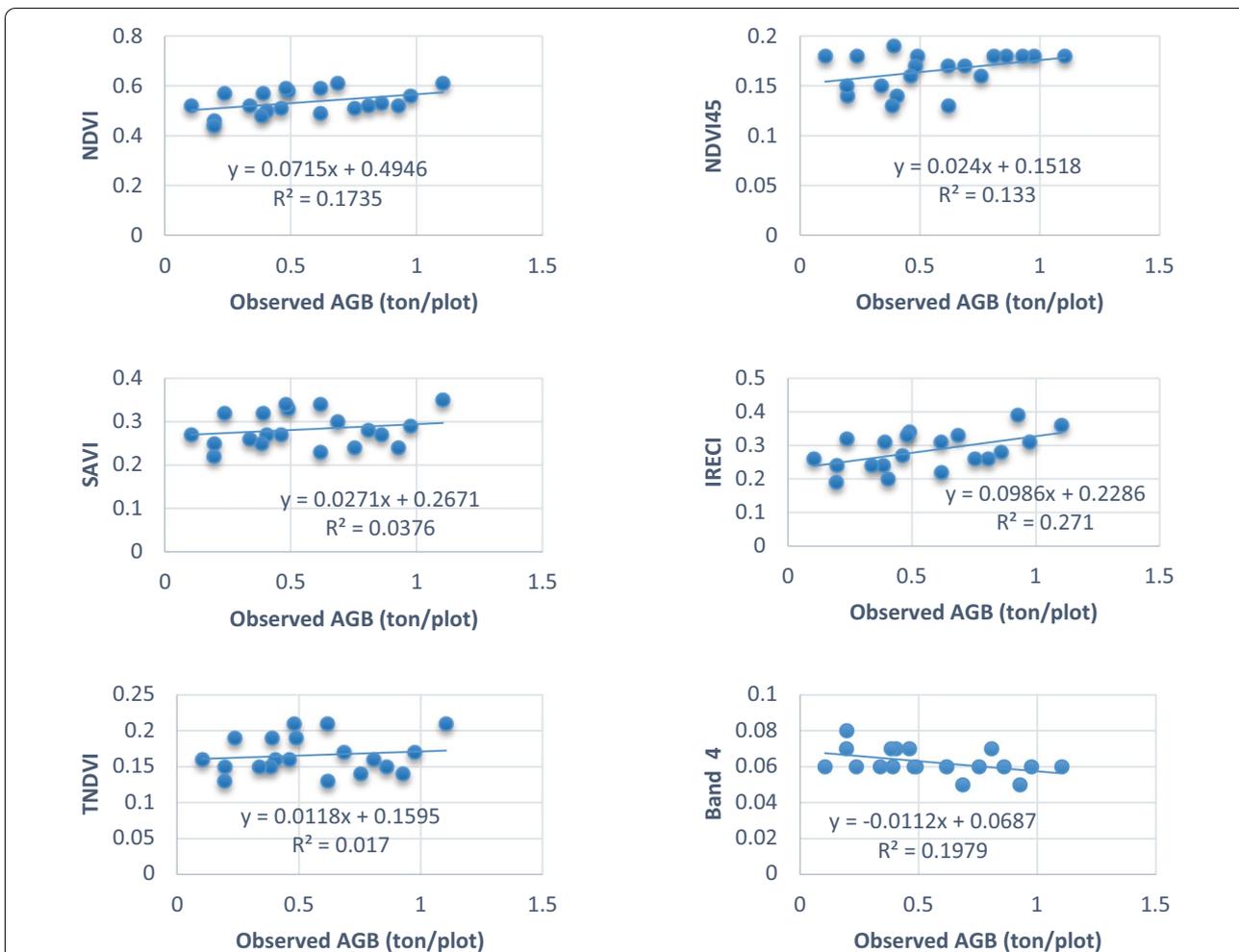


Fig. 8 Linear relationship between observed AGB and spectral vegetation indices extracted from Sentinel-2 MSI

show that the biophysical variables are better suited for developing forest biomass prediction model compared to other types of vegetation indices (Table 7).

Based on the above regression results, the biomass prediction equation was developed to estimate the above-ground biomass of the forest vegetation using Sentinel-2 image extracted variables as shown in equation below.

$$\begin{aligned}
 \text{AGB} = & (20.176 * \text{B4}) + (6.633 * \text{FCOVER}) \\
 & - (6.180 * \text{FAPAR}) + (13.452 * \text{LAI}) \quad (4) \\
 & - (6.307 * \text{IRECI}) - 2.282
 \end{aligned}$$

where B4 is Band 4, LAI is Leaf area index, IRECI is Inverted Red-Edge Chlorophyll Index, FCOVER is Fraction of vegetation cover, FAPAR is Fraction of Absorbed Photo-synthetically Active Radiation. These indices can be derived from any Sentinel-2 image and can be used to predict forest above-ground biomass using the prediction equation in a tropical afro-montane forest. The

prediction model was validated using the measured or observed values of above-ground biomass from the field (Table 8). The measure of the goodness of fit between the observed and predicted values showed a strong linear relationship with a coefficient of determination of $r^2 = 0.73$ (Fig. 10).

Discussion

The significance of the coupled modeling approach for biomass estimation relates to the field measured data from representative samples (Zhao et al. 2021). Although the numbers of sample plots were small, they represented the different layers of vegetation in the Yaju biosphere reserve (Annex 1). The plot measurement results corresponded with the forest biosphere strata of the Yaju forest, depicting the forest canopy structure in the different management zones of the forest. The core zones have high canopy trees with relatively dense standing stock (Gole et al. 2008).

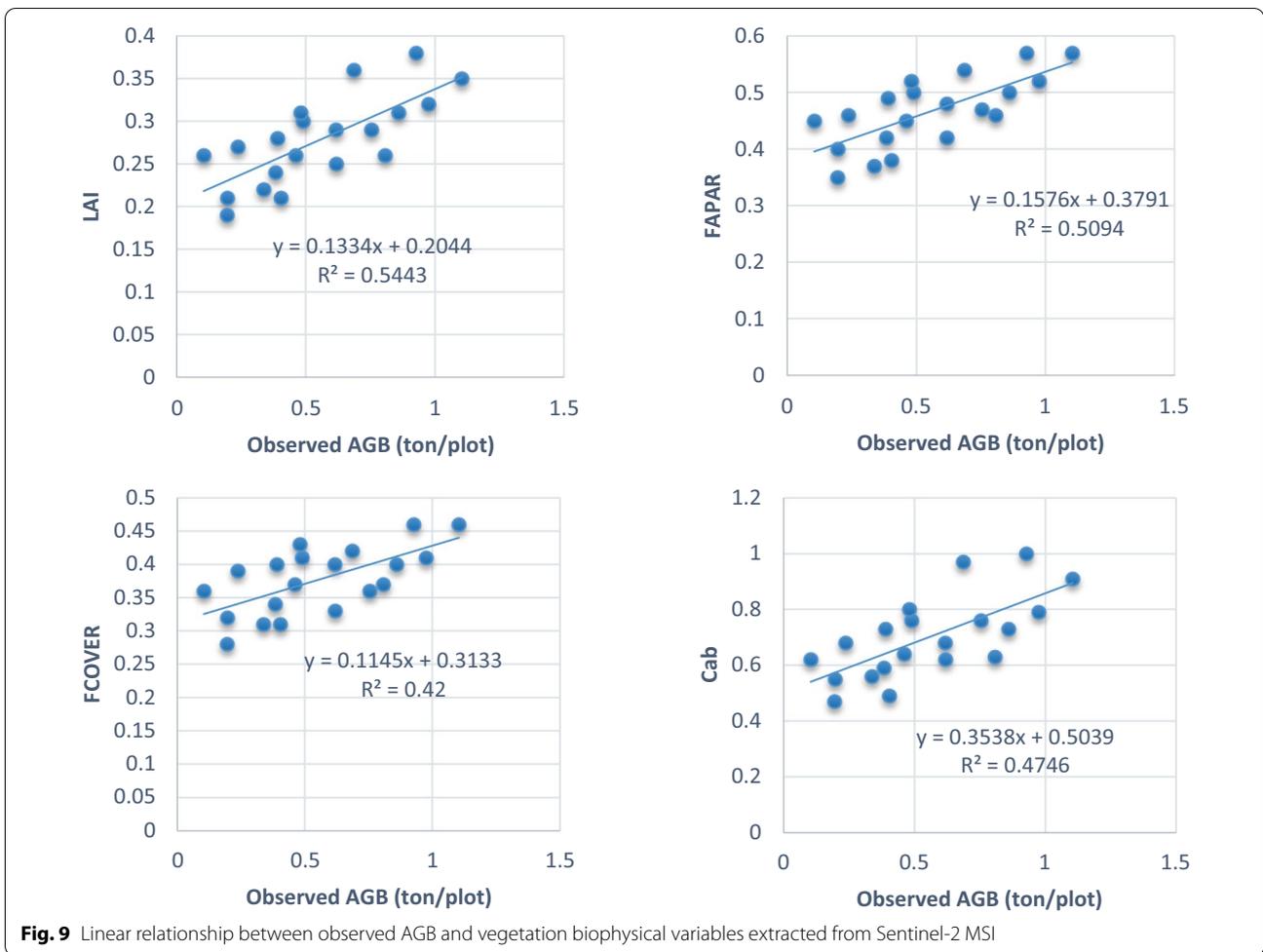


Table 7 Linear regression result of the observed AGB with selected predictor variables

Model summary					
Model	R	R ²	Adjusted R ²	Std. error of the estimate	
1	0.856 ^a	0.733	0.638	0.1714926	
Coefficients ^b					
Model	Unstandardized coefficients		Standardized coefficients	t-value	Sig
	B	Std. error	Beta		
1					
(Constant)	- 2.282	0.905		- 2.522	0.024
IRECI	- 6.307	3.138	- 1.195	- 2.010	0.064
LAI	13.452	6.069	2.433	2.217	0.044
FAPAR	- 6.180	7.340	- 1.364	- 0.842	0.414
FCOVER	6.633	7.471	1.172	0.888	0.390
B4	20.176	12.105	0.507	1.667	0.118

^a Predictors: (Constant), B4, FCOVER, IRECI, LAI, FAPAR

^b Dependent variable: AGB_T

Table 8 Values of the observed and predicted above-ground biomass

Plot code	Latitude (m)	Longitude (m)	Observed AGB (ton/plot)	Predicted AGB (ton/pixel)
1	0147368	0931779	0.20	0.29
2	0145903	0932027	0.98	0.78
3	0147329	0934143	0.49	0.45
4	0147023	0934962	0.24	0.29
5	0150452	0925206	0.10	0.39
6	0147389	0929212	0.93	0.91
7	0147854	0927192	0.86	0.90
8	0155815	0923434	0.81	0.60
9	0150437	0922525	0.19	0.18
10	0149495	0922560	0.40	0.40
11	0149358	0922303	0.62	0.56
12	0151628	0937211	0.39	0.36
13	0147518	0926112	1.10	0.89
14	0147484	0926386	0.62	0.50
15	0145704	0922617	0.48	0.66
16	0146176	0922736	0.46	0.60
17	0146521	0922727	0.38	0.50
18	0163083	0926702	0.34	0.14
19	0822091	0917444	0.69	0.94
20	0151444	0937851	0.75	0.67

The magnitude of the Biomass measured from those plots located in the buffer zone was lower than those measured from plots located in the core zone. Unlike the buffer and transition zones, the core zone is protected for biodiversity reserve and conservation (Gole et al. 2008; Schuit et al. 2021). The core zone is inaccessible, and the size and density of trees is relatively high compared to the transition zones. On the contrary, the buffer and transition zones are freely accessible for agricultural production and it is managed for coffee cultivation (Schuit et al. 2021). Trees are sparse and hence, biomass density is low. The structural stratification and management zonation of the vegetation has corresponded with the surface reflectance characterization of the forest in the Sentinel-2 images, which was very well illustrated in the vegetation indices maps (Figs. 5 and 6). This has positively contributed to signature sampling and classification accuracy.

The average measured biomass per unit area agrees with results reported in earlier studies for similar afro-montane forest types in the Central highlands of Ethiopia (Yohannes et al. 2015; Dibaba et al. 2019; Eshetu and Hailu 2020). These studies used similar coupled methods of sample plot measurements and allometric equations for the biomass estimation. Among the different vegetation indices derived from the Sentinel-2 image, only IRECI showed strong correlation with the measured biomass values. This is because

IRECI uses the red-edge bands (Band 6 and Band 7) that are well related to healthy vegetation biomass (Castillo et al. 2017; Isbaex and Coelho 2020). On the contrary, most of the vegetation biophysical variables were strongly correlated with the measured biomass. This suggests that vegetation biophysical indices or surface reflectance attributes are better predictor variables for above-ground biomass estimation than the other vegetation indices and multispectral bands (Tadesse et al. 2020). A study by Chen et al. (2018) revealed that the vegetation biophysical variables of Sentinel-2 were the most relevant and important predictors for explaining the observed variability of AGB. This is possibly because the Sentinel-2 product has comparatively large spatial coverage and high resolution to perform efficiently for estimation of biomass than other open-source sensor data products (Juniansah et al. 2018). LAI was found to be the single most important predictor by showing strong correlation with measured biomass. Similar observation has been reported by Castillo et al. (2017). LAI is known to be a very good indicator of vegetation density and can accurately describe state of vegetation compared to other canopy variables (Dusseux et al. 2015; Castillo et al. 2017). Those variables with high coefficient of determination (i.e., most of the vegetation biophysical indices) and those without multi-collinearity (e.g., LAI, FCOVER, FAPAR, IRECI and Band 4) were found to be suitable predictors of biomass in the regression model. This is consistent with a study by Pandit et al. (2018), who reported that Sentinel-2 images yielded reliable estimates of forest biomass and carbon stock using prediction algorithms, after observing a strong relationship between selected vegetation biophysical variables and measured biomass from the forest with a high measure of goodness of fit ($R^2=0.81$). This also agrees with the model goodness of fit between the predicted and observed values in this study (Fig. 10).

Using the raster calculator of ArcGIS and the forest layer thematic map, the above-ground biomass was mapped by applying the prediction model (Fig. 11). The result corresponded very well with the biosphere structural zones (Gole et al. 2008). The highest amount of the AGB is in the range of 6 to 10 ton per pixel or 150 to 250 t ha⁻¹, which are those areas closer to the core conservation zone of the forest biosphere reserve. In the transitional and the buffer zone of the forest, where access roads are available and where agricultural activities are permitted, the concentration of biomass is below 6 ton per pixel (Fig. 11). Within the core zone, a predicted value of biomass higher than 10 ton per pixel (250 t ha⁻¹) has been recorded in scattered pocket areas of the forest reserve. These spots are located in the steepest and most inaccessible parts of the forest, in which anthropogenic activities are restricted and very minimum.

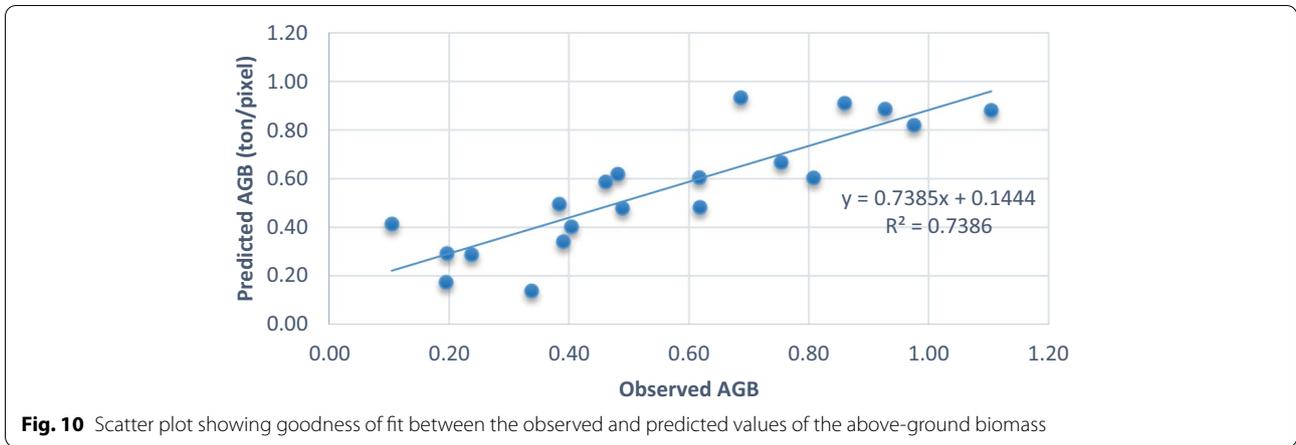


Fig. 10 Scatter plot showing goodness of fit between the observed and predicted values of the above-ground biomass

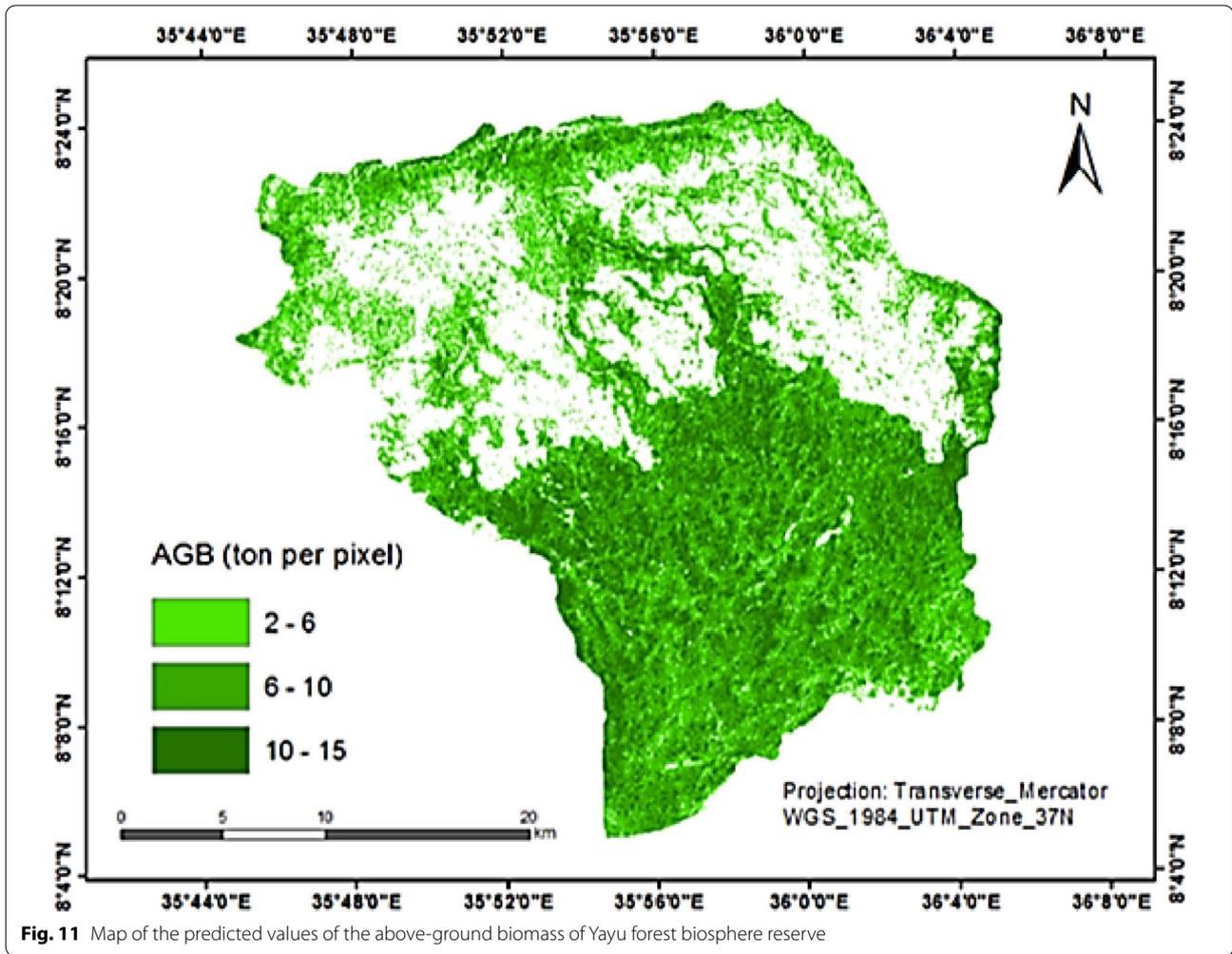


Fig. 11 Map of the predicted values of the above-ground biomass of Yayu forest biosphere reserve

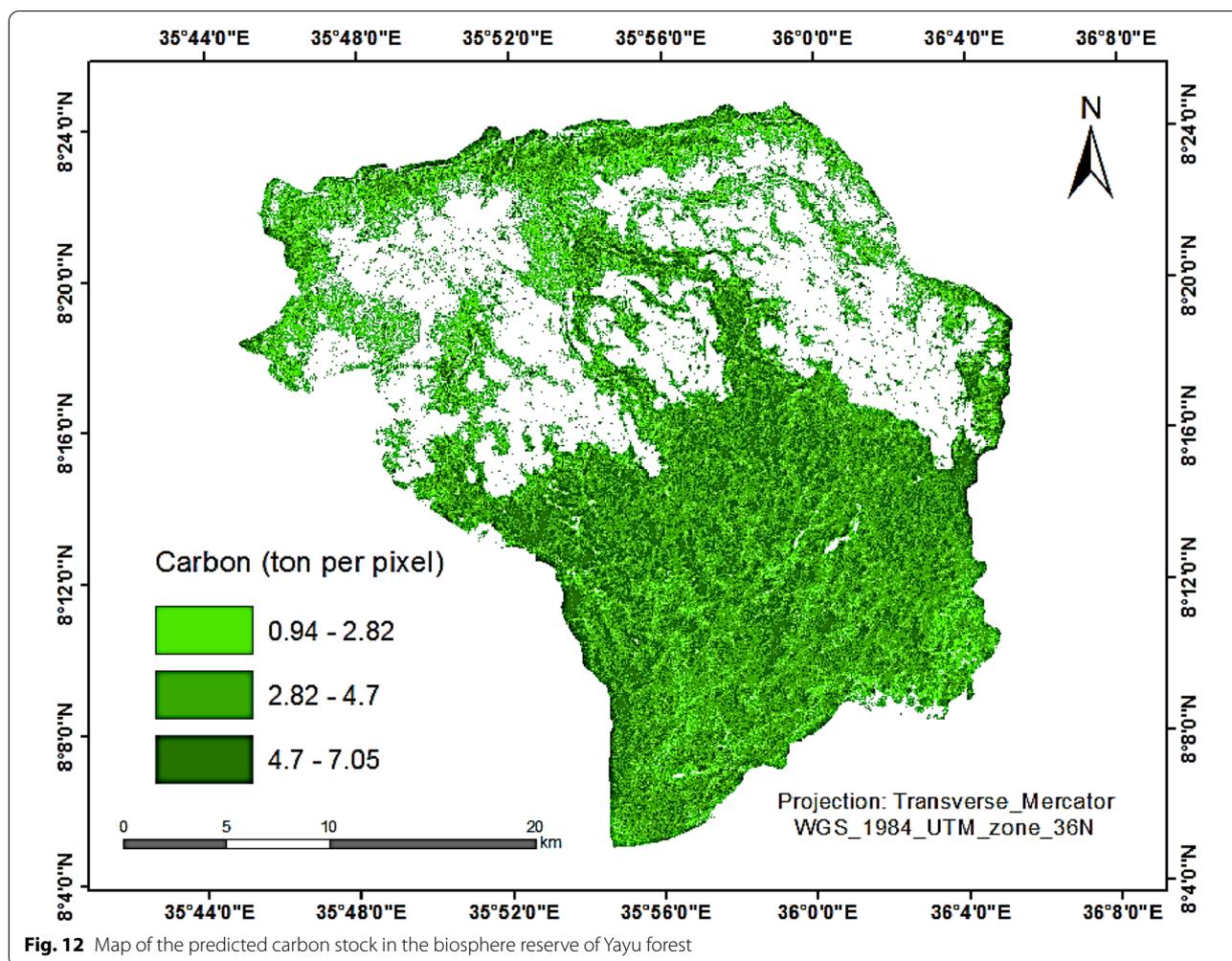


Fig. 12 Map of the predicted carbon stock in the biosphere reserve of Yayu forest

The above-ground forest biomass was converted to the carbon equivalent using carbon conversion factor (a default value of $CF=0.47$) and mapped using the raster calculator in ArcGIS. The carbon stock map is similar to the biomass distribution map and the inaccessible areas were found to have higher amount of carbon stock with a value of 7.05 ton/pixel or 176.25 t ha^{-1} . Likewise, the lowest amount of carbon stock, i.e., 2.82 ton/pixel or 70.5 t ha^{-1} , was recorded in the accessible and transitional zone of the biosphere reserve forest (Fig. 12).

The interesting finding in this study is that the biophysical variables derived from the Sentinel-2 images are found to be the most important predictors of the forest biomass vegetation. The predicted carbon stock maps well corresponded with the values recorded from the field measurements. These variables are directly related to the photosynthetic activity of the plants and the canopy structure, which determine the primary productivity of the forest ecosystems (Tadesse et al. 2020). The Sentinel-2 image, with its high

spectral and spatial resolution, is found to be very suitable for biomass estimation in the tropical high forest areas.

Conclusion

Forest biomass and carbon stock estimation using remotely sensed data are becoming more reliable due to improvements in spectral and spatial resolutions of products from different sensors. Recently, Sentinel-2 optical data are increasingly applied for estimating above-ground biomass and other vegetation attributes. This study tested the potential application of Sentinel-2 derived vegetation indices for modeling of above-ground biomass estimation in a tropical afro-montane forest. The results showed that biophysical variables (canopy surface reflectance values) are strongly correlated with forest above-ground biomass compared to the multispectral bands and band combination vegetation indices. Multispectral band 4 (red band), the biophysical variables LAI, FAPAR, FCOVER and the vegetation index IRECI showed high coefficient of

determination with low margin of error. These variables are good predictors for modeling forest above-ground biomass estimation using Sentinel-2 imagery. The results demonstrated that Sentinel-2 imagery is highly suitable for above-ground biomass estimation. From the low margin of error we can conclude that Sentinel-2 image

has improved the reliability of biomass estimation from remotely sensed data. Since the results in this study were achieved using measurements taken from limited number of field sampling plots, the level of accuracy of the results will likely improve with more number of field sample measurements.

Annex 1. Sample plots number 1 and 4 in the buffer zone, and plots 6 and 8 located in the core zone of the biosphere reserve forest with species recorded and measured tree parameters except density

Plot ID: 1 Lat.: 0147368 Long.: 0931779		Species name			Tree parameters		
Plot no	Tree Tag	Family	Genus	Species	DBH (cm)	Height (m)	Density* (g/cm ³)
1	204	Moraceae	<i>Ficus</i>	<i>sur</i>	37.7	14	0.441
1	205	Moraceae	<i>Ficus</i>	<i>sur</i>	35.7	14	0.441
1	206	Fabaceae	<i>Millettia</i>	<i>ferruginea</i>	23.8	14	0.738
1	207	Fabaceae	<i>Millettia</i>	<i>ferruginea</i>	20.1	16	0.738
1	209	Fabaceae	<i>Albizia</i>	<i>grandibracteata</i>	17.1	13	0.534
1	210	Euphorbiaceae	<i>Bridelia</i>	<i>micrantha</i>	30.3	14	0.54
1	211	Myrsinaceae	<i>Maesa</i>	<i>lanceolata</i>	12.6	8	0.676
1	212	Fabaceae	<i>Millettia</i>	<i>ferruginea</i>	17.3	15	0.738
1	213	Fabaceae	<i>Albizia</i>	<i>grandibracteata</i>	20	14	0.534
1	215	Boraginaceae	<i>Ehretia</i>	<i>cymosa</i>	26.5	11	0.56
1	216	Rubiaceae	<i>Rothmannia</i>	<i>urcelliformis</i>	12.1	2	0.642

Located in the buffer zone * wood density is taken from secondary source (database)

Plot ID: 4 Lat.: 0147023 Long.: 0934962		Species name			Tree parameters		
Plot no	Tree Tag	Family	Genus	Species	DBH (cm)	Height (m)	Density (g/cm ³)
4	1746	Euphorbiaceae	<i>Bridelia</i>	<i>micrantha</i>	15.5	9	0.54
4	1747	Euphorbiaceae	<i>Sapium</i>	<i>ellipticum</i>	18.4	28	0.576
4	1748	Euphorbiaceae	<i>Sapium</i>	<i>ellipticum</i>	16.5	10	0.576
4	1749	Euphorbiaceae	<i>Macaranga</i>	<i>capensis</i>	47.6	14	0.416
4	1750	Myrtaceae	<i>Syzygium</i>	<i>guineense</i>	19.9	2	0.712
4	1751	Fabaceae	<i>Albizia</i>	<i>schimperiana</i>	18.8	7	0.53
4	1752	Rhizophoraceae	<i>Cassipourea</i>	<i>malosana</i>	13.5	6	0.673
4	1753	Myrtaceae	<i>Syzygium</i>	<i>guineense</i>	13.5	8	0.712
4	1755	Myrtaceae	<i>Syzygium</i>	<i>guineense</i>	15.3	7	0.712
4	1756	Myrtaceae	<i>Syzygium</i>	<i>guineense</i>	16.7	8	0.712
4	1757	Euphorbiaceae	<i>Sapium</i>	<i>ellipticum</i>	13.2	5	0.576
4	1758	Euphorbiaceae	<i>Sapium</i>	<i>ellipticum</i>	16.3	10	0.576
4	1759	Euphorbiaceae	<i>Sapium</i>	<i>ellipticum</i>	24.7	10	0.576
4	1760	Euphorbiaceae	<i>Sapium</i>	<i>ellipticum</i>	16.9	12	0.576
4	1761	Euphorbiaceae	<i>Sapium</i>	<i>ellipticum</i>	28.5	16	0.576
4	1762	Euphorbiaceae	<i>Sapium</i>	<i>ellipticum</i>	23.3	14	0.576
4	1763	Euphorbiaceae	<i>Sapium</i>	<i>ellipticum</i>	18.8	5	0.576

Located in the buffer zone

Plot ID: 6 Lat.: 0147389 Long.: 0929212		Species name			Tree parameters		
Plot no	Tree Tag	Family	Genus	Species	DBH (cm)	Height (m)	Density (g/cm ³)
6	1001	Icacinaceae	<i>Apodytes</i>	<i>dimidiata</i>	15.4	15.4	0.71
6	1002	Euphorbiaceae	<i>Bridelia</i>	<i>micrantha</i>	26.9	26.9	0.54
6	1003	Pittosporaceae	<i>Pittosporum</i>	<i>viridiflorum</i>	18.4	18.4	0.633
6	1004	Rubiaceae	<i>Vangueria</i>	<i>apiculata</i>	12.4	12.4	0.5
6	1005	Oleaceae	<i>Olea</i>	<i>welwitschii</i>	20.5	20.5	0.82
6	1006	Rubiaceae	<i>Vangueria</i>	<i>apiculata</i>	14.3	14.3	0.5
6	1007	Euphorbiaceae	<i>Bridelia</i>	<i>micrantha</i>	22.6	22.6	0.54
6	1008	Boraginaceae	<i>Ehretia</i>	<i>cymosa</i>	29.7	29.7	0.56
6	1009	Fabaceae	<i>Albizia</i>	<i>grandibracteata</i>	40.8	40.8	0.534
6	1010	Euphorbiaceae	<i>Bridelia</i>	<i>micrantha</i>	18.9	18.9	0.54
6	1011	Moraceae	<i>Ficus</i>	<i>ovata</i>	47.6	47.6	0.6
6	1012	Euphorbiaceae	<i>Bridelia</i>	<i>micrantha</i>	13	13	0.54
6	1013	Boraginaceae	<i>Ehretia</i>	<i>cymosa</i>	40.6	40.6	0.56
6	1016	Fabaceae	<i>Albizia</i>	<i>grandibracteata</i>	12.5	12.5	0.534
6	1017	Fabaceae	<i>Albizia</i>	<i>grandibracteata</i>	11.5	18.5	0.534
6	1018	Combretaceae	<i>Combretum</i>	<i>paniculatum</i>	18.5	17.5	0.56

Located in the core zone

Plot ID: 8 Lat.: 0155815 Long.: 0923434		Species name			Tree parameters		
Plot no	Tree Tag	Family	Genus	Species	DBH (cm)	Height (m)	Density (g/cm ³)
8	2385	Boraginaceae	<i>Ehretia</i>	<i>cymosa</i>	16.6	5	0.56
8	2386	Boraginaceae	<i>Ehretia</i>	<i>cymosa</i>	11.5	4	0.56
8	2387	Boraginaceae	<i>Ehretia</i>	<i>cymosa</i>	29.2	15	0.56
8	2388	Boraginaceae	<i>Ehretia</i>	<i>cymosa</i>	13.2	3	0.56
8	2389	Boraginaceae	<i>Ehretia</i>	<i>cymosa</i>	30	15	0.56
8	2390	Fabaceae	<i>Albizia</i>	<i>schimperiana</i>	27.6	15	0.53
8	2391	Fabaceae	<i>Albizia</i>	<i>schimperiana</i>	27.9	13	0.53
8	2392	Boraginaceae	<i>Cordia</i>	<i>africana</i>	26.2	12	0.482
8	2393	Boraginaceae	<i>Cordia</i>	<i>africana</i>	29.8	10	0.482
8	2394	Boraginaceae	<i>Cordia</i>	<i>africana</i>	37.6	20	0.482
8	2395	Fabaceae	<i>Albizia</i>	<i>schimperiana</i>	51.6	20	0.53
8	2396	Fabaceae	<i>Albizia</i>	<i>schimperiana</i>	51.4	20	0.53
8	2397	Fabaceae	<i>Albizia</i>	<i>schimperiana</i>	82.4	18	0.53
8	2398	Boraginaceae	<i>Ehretia</i>	<i>cymosa</i>	12.6	6	0.56

Located in the core zone

Abbreviations

AGB: Above-ground biomass; Cab: Chlorophyll content in the leaf; CF: Carbon fraction; CSV: Comma separated variable; DBH: Diameter at breast height; DOS: Dark Object Subtraction; ESA: European Space Agency; FAPAR: Fraction of Absorbed Photo-synthetically Active Radiation; FCOVER: Fraction of vegetation cover; IPCC: Intergovernmental Panel for Climate Change; IRECI: Inverted Red-Edge Chlorophyll Index; LAI: Leaf area index; Landsat: Land Use Satellite; LiDAR: Light Detection and Ranging; MEFC: Ministry of Environment, Forestry and Climate Change; MoA: Ministry of Agriculture; MODIS: Moderate-resolution Imaging Spectro-radiometer; MS: Multispectral Instrument; SNAP: Sentinel Application Platform; QGIS: Quantum GIS; REDD: Reduced Emission from

Deforestation and forest Degradation; RMSE: Root Mean Square Error; SPOT: Systeme probatoire d'observation de la terre.

Acknowledgements

The authors would like to thank all the anonymous reviewers who have positively examined the work and provided constructive comments that helped to improve the contents and structure of the paper. We thank the Environment and Coffee Forest Forum for allowing the researchers to use the sampling sites in the Yayu Forest Biosphere Reserve. The School of Earth Science at the College of Natural Science is highly appreciated for providing the GIS lab facility during the research.

Authors' contributions

The authors contributed equally to the research design and writing of the manuscript. SM has collected and analyzed the field data, conducted image analysis and data analysis. MA designed the paper structure, composed the main text and mainly contributed to the final writing of the manuscript with successive revisions. All authors read and approved the final manuscript.

Authors' information

Seid Muhe is a GIS and Remote Sensing expert, lecturer at Semera University, in the Department of Geography and Environmental Studies, in Semera Town, Ethiopia. Dr. Mekuria Argaw is an associate professor of Ecology and Environmental Sciences, at the Center for Environmental Science, College of Natural and Computational Science, Addis Ababa University, Addis Ababa, Ethiopia.

Funding

No funding was provided to this research.

Availability of data and materials

The data set used/or analyzed during the current study are available with the authors. Data will be provided upon reasonable request to the corresponding author.

Declarations**Ethics approval and consent to participate**

Not applicable.

Consent for publication

Not applicable.

Competing interests

Authors declare that they don't have competing interests.

Author details

¹Geography and Environmental Studies, Semera University, Semera, Ethiopia.

²Center for Environmental Science, Addis Ababa University, Addis Ababa, Ethiopia.

Received: 23 October 2021 Accepted: 28 February 2022

Published online: 22 March 2022

References

- Alkama R, Cescatti A (2016) Biophysical climate impacts of recent changes in global forest cover. *Science* 351:600–604. <https://doi.org/10.1126/science.aac8083>
- Asrar G, Myneni R, Kanemasu T (1989) Measuring and modeling spectral characteristics of a tall grass prairie. *Remote Sens Environ* 27(2):143–155. [https://doi.org/10.1016/0034-4257\(89\)90014-X](https://doi.org/10.1016/0034-4257(89)90014-X)
- Baillarin SJ, Meygret A, Dechoz C, Petrucci B, Lacherade S, Tremas T, Spoto F (2012) Sentinel-2 level 1 products and image processing performances. *Int Geosci Remote Sens Symp* 39(B1):197–202
- Bannari A, Morin D, Bonn F, Huete AR (1995) A review of vegetation indices. *Remote Sens Rev* 13(1–2):95–120
- Brown S (1993) Tropical forests and the global carbon cycle: the need for sustainable land-use patterns. *Agric Ecosyst Environ* 46:31–44
- Brown S (1997) Estimating biomass and biomass change of tropical forests: a primer. FAO Forestry Paper 134, Rome, Italy
- Brown S, Gillespie AR, Lugo AE (1989) Biomass estimation methods for tropical forests with applications to forest inventory data. *Forest Sci* 35:881–902
- Castillo JAA, Armando AA, Tek NM, Severino GS (2017) Estimation and mapping of above-ground biomass of mangrove forests and their replacement land uses in the Philippines using Sentinel imagery. *ISPRS Jour of Photo and Remo Sensing* 134:75–85. <https://doi.org/10.1016/j.isprsjprs.2017.10.016>
- Canadell JG, Raupach MR (2008) Managing forests for climate change mitigation. *Science* 320(5882):1456–1457. <https://doi.org/10.1126/science.1155458>
- Chave JR, Condit SA, Hernandez ASL, Perez R (2004) Error propagation and scaling for tropical forest biomass estimates. *Philos Trans R Soc Lond Ser* 359(1443):409–420
- Chavez PS (1988) An improved dark-object subtraction technique for atmospheric scattering correction of multispectral data. *Remote Sens Environ* 24(3):459–479
- Chrysafis I, Mallinis G, Siachalou S, Patias P (2017) Assessing the relationships between growing stock volume and Sentinel-2 imagery in a Mediterranean forest ecosystem. *Remo Sens Lett* 8(6):508–517. <https://doi.org/10.1080/2150704X.2017.1295479>
- Chen L, Ren C, Zhang B, Wang Z, Xi Y (2018) Estimation of forest above-ground biomass by geographically weighted regression and machine learning with sentinel imagery. *Forests* 9:1–20
- Chen L, Wang Y, Ren C, Zhang B, Wang Z (2019) Assessment of multi-wavelength SAR and multispectral instrument data for forest aboveground biomass mapping using random forest kriging. *Fores Ecol Manage* 447:12–25. <https://doi.org/10.1016/j.foreco.2019.05.057>
- Dibaba A, Soromessa T, Workneh B (2019) Carbon stock of the various carbon pools in Gerba-Dima moist Afromontane forest, South-western Ethiopia. *Carbon Balance Manage*. <https://doi.org/10.1186/s13021-019-0116-x>
- Dou X, Yang Y (2018) Estimating forest carbon fluxes using four different data-driven techniques based on long-term eddy covariance measurements: model comparison and evaluation. *Sci Total Environ* 627:78–94. <https://doi.org/10.1016/j.scitotenv.2018.01>
- Dusseux P, Hubert-Moy L, Corpetti T, Vertès F (2015) Evaluation of SPOT imagery for the estimation of grassland biomass. *Int J Appl Earth Obs Geoinf* 38:72–77
- Eshetu EY, Hailu TA (2020) Carbon sequestration and elevational gradient: the case of Yegof mountain natural vegetation in North East, Ethiopia, implications for sustainable management. *Cogent Food Agric* 6(1):1733331. <https://doi.org/10.1080/23311932.2020.1733331>
- European Space Agency, Sentinel online. <https://sentinel.esa.int/web/sentinel/sentinel-data-access>. Accessed 15 Oct 2019
- Forkuor G, Dimobe K, Serme I, Tondoh J (2017) Landsat-8 vs. Sentinel-2: examining the added value of Sentinel-2's red-edge bands to land-use and land cover mapping in Burkina Faso. *Gisci Remote Sens* 2:1–24. <https://doi.org/10.1080/15481603.2017.1370169>
- Georgia G, Dimitris Z, Ioannis G, Kalliopi R, Vassilia K, Maria TS, Iain W, Giorgos M (2017) Vegetation biomass estimation with remote sensing: focus on forest and other wooded land over the Mediterranean ecosystem. *Int J Remote Sens* 38(7):1940–1966. <https://doi.org/10.1080/01431161.2016.1266113>
- GIS Resources (2013) Global land cover facility. <https://gisresources.com/tag/global-land-cover-facility-glcf/>. Accessed 8 Sept 2019
- Gisel R, Sandra B, Jonathan C, Ariel E (1992) Wood densities of tropical tree species. New Orleans, Louisiana. https://www.srs.fs.usda.gov/pubs/gtr/gtr_so088.pdf. Accessed 28 Mar 2019
- Gole TW, Borsch T, Denich M, Teketay D (2008) Floristic composition and environmental factors characterizing coffee forests in southwest Ethiopia. *Forest Ecol Manag* 255:2138–2150. <https://doi.org/10.1016/j.foreco.2007.12.028>
- Gómez M (2017) Joint use of Sentinel-1 and Sentinel-2 for land cover classification: a machine learning approach. M.Sc thesis, Lund University, Lund, Sweden
- Herold M, Román-Cuesta RM, Mollicone D, Hirata Y, Van Laake P, Asner GP, Souza C, Skutsch M, Avitabile V, MacDicken K (2011) Options for monitoring and estimating historical carbon emissions from forest degradation in the context of REDD+. *Carbon Balance Manag* 6(13):1–7
- Huete A, Didan K, Miura T, Rodriguez EP, Gao X, Ferreria LG (2000) Overview of the radiometric and biophysical performance of the MODIS vegetation indices. *Remote Sens Environ* 83(5):195–213
- Hughes RF, Kauffman JB, Jaramillo VJ (1999) Biomass, carbon, and nutrient dynamics of secondary forests in a humid tropical region of Mexico. *Ecology* 80:1897–1907
- Husch B, Beers TW, Kershaw JA (2003) *Forest mensuration*, 4th edn. Wiley, Hoboken
- Isbaex C, Coelho AM (2020) The potential of Sentinel-2 satellite images for land-cover/ land-use and forest biomass estimation: a review. *IntechOpen*. <https://doi.org/10.5772/intechopen.93363>
- IPCC (2006) Guidelines for National Greenhouse Gas Inventories – A primer, Prepared by the National Greenhouse Gas Inventories Program: Eggleston HS, Miwa K, Srivastava N, Tanabe K (eds) Institute for Global Environmental Strategies, Japan
- Juniansah A, Tama GC, Febriani KR, Baharain MN, Kanekaputra T, Wulandari WS, Kamal M (2018) Mangrove leaf area index estimation using Sentinel 2A imagery in Teluk Ratai, Pesawaran Lampung. In: IOP Conference series: earth

- and environmental science, vol 165, pp 012004. <https://doi.org/10.1088/1755-1315/165/1/012004>
- Kebede M, Kanninen M, Yirdaw E, Lemenih M (2013) Vegetation structural characteristics and topographic factors in the remnant moist Afro-montane forest of Wondo Genet, south central Ethiopia. *J Forest Res* 24(3):419–430. <https://doi.org/10.1007/s11676-013-0374-5>
- Lu D (2006) The potential and challenge of remote sensing-based biomass estimation. *Int J Remote Sens* 27(7):1297–1328. <https://doi.org/10.1080/01431160500486732>
- Lu D, Chen Q, Wang G, Liu L, Li G, Moran E (2014) A survey of remote sensing-based above-ground biomass estimation methods in forest ecosystems. *Int J Digit Earth* 9(1):63–105. <https://doi.org/10.1080/17538947.2014.99052>
- Lyon JG, Yuan D, Lunetta RS, Elvidge CD (1998) A change detection experiment using vegetation indices. *Photo Eng Remote Sens* 64(2):143–150
- Mascaro J, Detto M, Asner GP, Muller-Landau HC (2011) Evaluating uncertainty in mapping forest carbon with airborne LiDAR. *Remote Sens Environ* 115(12):3770–3774. <https://doi.org/10.1016/j.rse.2011.07.019>
- Mauya EW, Hansen E, Gobakken T, Bollandsås M, Malimbwi E, Næsset E (2015) Effects of field plot size on prediction accuracy of aboveground biomass airborne laser scanning-assisted inventories in tropical rain forests of Tanzania. *Carbon Balance Manag* 10:1–14
- McRoberts RE, Næsset E, Gobakken T (2013) Inference for lidar-assisted estimation of forest growing stock volume. *Remote Sens Environ* 128:268–275
- MEFCC (Ministry of Environment, Forest and Climate Change) (2016) Ethiopia's forest reference level submission to the United Nations framework convention for climate change. Addis Ababa
- Melese B, Kelbessa E, Soromessa T (2014) Forest carbon stocks in woody plants of Arba Minch ground water forest and its variations along environmental gradients. *Sci Technol Arts Res J* 3(2):141–147. <https://doi.org/10.4314/star.v3i2.18>
- MoA (Ministry of Agriculture) (2000) Woody Biomass Inventory and Strategic Planning Project (WBISPP), Manual for woody biomass inventory. Ministry of Agriculture, Addis Ababa
- Mutanga O, Skidmore AK (2004) Narrow band vegetation indices to overcome the saturation problem in biomass estimation. *Int J Remote Sens* 25:3999–4014
- Navar J (2009) Allometric equations for tree species and carbon stocks for forests of Northwestern Mexico. *For Ecol Manag* 257:427–434
- Pan Y, Birdsey R, Fang J, Houghton R, Kauppi P, Kurz W, Phillips O, Shvidenko A, Lewis SL, Canadell J (2011) A large and persistent carbon sink in the world's forests. *Science* 333:988–993
- Pandit S, Tsuyuki S, Dube T (2018) Estimating above-ground biomass in subtropical buffer zone community Forests, Nepal, using Sentinel 2 data. *Remote Sens* 10(4):601
- Pearson T, Wolker S, Brown S (2005) Source book for land use, land use change and forestry projects, Winrock International and the BioCarbon Fund, World Bank, USA
- Pertille CT, Marcos FN, Larissa RT, Thiago F (2019) Biomass quantification of *Pinus taeda* L. from remote optical sensor data. *Adv Forest Sci* 6(2):603–610
- Peters AJ (2007) Performance evaluation of spectral vegetation indices using a statistical sensitivity function. *Remote Sens Environ* 106(1):59–65
- Powell SL, Cohen WB, Healey SP, Kennedy RE, Moisen GG, Pierce KB, Ohmann JL (2010) Quantification of live aboveground forest biomass dynamics with Landsat time-series and field inventory data: a comparison of empirical modeling approaches. *Remote Sens Environ* 114(5):1053–1068. <https://doi.org/10.1016/j.rse.2009.12.018>
- Ramoelo A, Cho M, Mathieu R, Skidmore A (2015) Potential of Sentinel-2 spectral configuration to assess rangeland quality. *J Appl Remote Sens Environ* 124:516–533
- Schuit P, Moat J, Gole TW, Challa ZK, Torz J, Macatonia S, Cruz G, Davis AP (2021) The potential for income improvement and biodiversity conservation via specialty coffee in Ethiopia. *PeerJ* 9:e10621. <https://doi.org/10.7717/peerj.10621>
- Segura M, Kanninen M (2005) Allometric models for tree volume and total above-ground biomass in a tropical humid forest in Costa Rica. *Biotropica* 37:2–8
- Shoko C, Mutanga O (2017) Examining the strength of the newly-launched Sentinel 2 MSI sensor in detecting and discriminating subtle differences between C3 and C4 grass species. *ISPRS J Photogr Remote Sens* 129:32–40
- Shrestha SK (2011) Carbon stock estimation using very high-resolution satellite imagery and individual crown segmentation (A case study of broadleaved and needle leaved forest of Dolakha, Nepal. MSc Thesis, ITC—University of Twente, Enschede
- Siraj M (2019) Forest carbon stocks in woody plants of Chilimo-Gaji Forest, Ethiopia: implications of managing forests for climate change mitigation. *S Afr J Bot* 127:213–219. <https://doi.org/10.1016/j.sajb.2019.09.003>
- SNAP (2016) Sentinels Application Platform software ver. 4.0.0, European Space Agency
- Soenen SA, Peddle DR, Hall RJ, Coburn CA, Hall FG (2010) Estimating aboveground forest biomass from canopy reflectance model inversion in mountainous terrain. *Remote Sens Environ* 114(7):1325–1337. <https://doi.org/10.1016/j.rse.2009.12.012>
- Steininger MK (2000) Satellite estimation of tropical secondary forest above-ground biomass: data from Brazil and Bolivia. *Int J Remote Sens* 21:1139–1157
- Sun X, Guicai L, Meng W, Zemeng F (2019) Analyzing the uncertainty of estimating forest aboveground biomass using optical imagery and space-borne LiDAR. *Remote Sens* 11:722. <https://doi.org/10.3390/rs11060722>
- Tadesse H, Zerihun A, Burud I, Terje G, Hans O, Øystein BD, Erik N (2020) Use of remotely sensed data to enhance estimation of aboveground biomass for the dry afro-montane forest in South-Central Ethiopia. *Remote Sens* 12:3335. <https://doi.org/10.3390/rs12203335>
- Timothy D, Onesimo M, Riyad O (2015) Evaluating the utility of the medium-spatial resolution Landsat 8 multi-spectral sensor in quantifying aboveground biomass in Umgeni catchment, South Africa. *ISPRS J Photo Remote Sens* 101:36–46
- Timothy D, Onesimo M, Riyad O (2016) Quantifying aboveground biomass in African environments: a review of the trade-offs between sensor estimation accuracy and costs. *Trop Ecol* 57(3):393–405
- Vashum KT, Jayakumar S (2012) Methods to estimate above-ground biomass and carbon stock in natural forests—a review. *J Ecosyst Ecogr* 2:116. <https://doi.org/10.4172/2157-7625.1000116>
- Widlowski JL, Pinty B, Gobron N, Verstraete MM, Diner DJ, Davis AB (2004) Canopy structure parameters derived from multi-angular remote sensing data for terrestrial carbon studies. *Clim Change* 67(2–3):403–415
- Yohannes H, Soromessa T, Argaw M (2015) Carbon stock analysis along altitudinal gradient in gedo for-est: implications for forest management and climate change mitigation. *Am J Environ Prot* 4(5):237–244. <https://doi.org/10.11648/j.ajep.20150405.14>
- Zhao M, Yang J, Zhao N, Liu L, Du L, Xiao X, Wilson JP (2021) Spatially explicit changes in forest biomass carbon of China over the past 4 decades: coupling long-term inventory and remote sensing data. *J Clean Prod* 316:128274. <https://doi.org/10.1016/j.jclepro.2021.128274>
- Zianis D, Mencuccini M (2004) On simplifying allometric analyses of forest biomass. *For Ecol Manage* 187(2–3):311–332. <https://doi.org/10.1016/j.foreco.2003.07.00>
- Zhang T, Su J, Liu C, Chen WH, Liu H, Liu G (2017) Band selection in sentinel-2 satellite for agriculture applications. 23rd International Conference on Automation and Computing (ICAC). <https://doi.org/10.23919/iconac.2017.808199>

Publisher's Note

Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

Submit your manuscript to a SpringerOpen® journal and benefit from:

- Convenient online submission
- Rigorous peer review
- Open access: articles freely available online
- High visibility within the field
- Retaining the copyright to your article

Submit your next manuscript at ► [springeropen.com](https://www.springeropen.com)